**Global Survey on Trade Facilitation and Paperless Trade Implementation**

**[A joint effort by the United Nations Regional Commissions including ECA, ECE, ECLAC, ESCAP and ESCWA and OECD in support of making trade cheaper and faster for all]**

**Background:** The United Nations Regional Commissions including ECA, ECE, ECLAC, ESCAP and ESCWA, in collaboration with OECD, are conducting a global survey to collect relevant data and information on trade facilitation and paperless trade implementation from their respective member states. Outcomes of the survey will enable the countries to better understand and monitor progress in trade facilitation, support evidence-based policy-making, provide a cross-regional knowledge sharing platform, and further strengthen south-south cooperation.

Any experts who are interested in sharing their expertise and knowledge on different aspects of trade facilitation and paperless trade in their countries are encouraged to participate in the survey. Please return the filled-in from to the following focal points in your respective region, who can also respond your inquiry, if any, regarding the survey. If you are not certain about whom you can contact, you are welcome to contact the focal point from ESCAP.

Economic Commission for Africa (ECA): Mr. Giovanni Valensisi, gvalensisi@uneca.org

Economic Commission for Europe (ECE): Mr. Khan Salehin, khan.salehin@unece.org

Economic Commission for Latin America (ECLAC): Mr. Nanno Mulder, nanno.mulder@cepal.org

Economic and Social Commission for Asia and the Pacific (ESCAP): Mr. Tengfei Wang, wangt@un.org

Economic and Social Commission for Western Asia (ESCWA): Mr. Adel Al-Ghaberi, al-ahaberi@un.org

**Quick Guide on how to fill in the questionnaire:**

1. You may fill in the questionnaire by yourself. Alternatively, you and other experts in trade facilitation may jointly fill in the questionnaire (bearing in mind that the questionnaire covers many different areas of trade facilitation which may require expertise from different experts to provide accurate answer).
2. Please be assured that your answers, together with feedback from other respondents, will be analysed in an aggregate manner. No individual name will be released in the study report.
3. For each question in Section B of the questionnaire, you may consider answering subquestion(s) first. In so doing, you will be in a better position to assess the implementation status of a particular trade facilitation measure. (e.g., the first question in Section is related to trade facilitation committee, if you believe this measure has been ‘fully implemented’, then the answers to all three subquestions 1.1 through 1.3 need to be ‘yes’).
4. You are very welcome to provide additional information. For instance, if you think there is a policy framework for a particular trade facilitation measure, you may provide information on where/how we can locate such policy framework.

**SECTION A – INFORMATION ON RESPONDENT**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Name : |  | Job title : |  | Organization : |  |
| Email : |  | Country : |  | Telephone (optional) |  |

 **1. Your organization is** (*please indicate the correct response by putting an* **X** *in the corresponding brackets*):

[ ] A government ministry/agency [ ] A private sector organization [ ] Others, please specify: \_\_\_

**2. Is your organization the lead agency for trade facilitation (i.e., assigned by the government to implement trade facilitation reform)?**

[ ] Yes [ ] No [ ] Don’t know

**3. How many years of experience do you have in trade facilitation?**

[ ] Less than 2 years [ ] 2 to 5 years [ ] 6 to 9 years [ ] 10 years and more

**4. What is/are your particular area(s) of expertise in trade facilitation?** *(select all that apply)*

[ ] Customs [ ] Paperless trade/IT [ ] Transit [ ] Trade logistics [ ] Others, please specify: \_\_\_

**SECTION B – TRADE FACILITATION MEASURES**

(*Please indicate the correct response by putting an* **X** *in the relevant column for each measure, depending on its level of implementation in your country)*

| **FI**: Fully Implemented ; **PI**: Partially Implemented; **PS:** Pilot Stage of Implementation; **NI**: Not implemented; **DK**: Don’t know |
| --- |
|  | **Please specify progress or improvement made over the past 12 months** | **Further information** *(e.g., website, date of implementation…)* |
| **General Trade Facilitation Measures** |
| **1. Establishment of a national trade facilitation committee or similar body***C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 1.1. Does the committee include executive authority, border agencies and Private sector stakeholders?[ ] Yes [ ] No [ ] Don’t know1.2. Has the committee established terms of reference and procedures for the conduct of its activities? [ ] Yes [ ] No [ ] Don’t know1.3. Are sufficient and qualified staff available to support the committee? [ ] Yes [ ] No [ ] Don’t know |
| *C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | *A national trade facilitation body (NTFB) generally refers to an institutional arrangement that brings together relevant diverse parties with interest in trade facilitation (including both government and private sector) and provides a mechanism for identifying problems and implementing measures to streamline trade procedures. Examples of NTFBs include PRO-Committees, FAL Committees, and National Trade and Transport Facilitation Committees.* |
| **2. Publication of existing import-export regulations on the Internet** | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 2.1. Is there a legal act or formal policy in place on publication of existing import-export regulations on the Internet? [ ] Yes [ ] No [ ] Don’t know2.2. Can traders and interested parties easily find information they need?  [ ] Yes [ ] No [ ] Don’t know |
| **3. Stakeholder consultation on new draft regulations (prior to their finalization)** | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 3.1. Are there established structures for regular consultations? [ ] Yes [ ] No [ ] Don’t know3.2. Are there specific consultations when introducing or amending trade related laws and regulations? [ ] Yes [ ] No [ ] Don’t know3.3. How many groups of stakeholders (e.g. SMEs, large traders, transporters, Customs brokers, citizens) are involved?\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ [ ] Do not know |
| 4. **Advance publication/notification of new regulation before their implementation (e.g., 30 days prior)** | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 4.1. Is there legislation which requires the administrative agencies to make proposed new regulations or amendments publicly available before their entry into force? [ ] Yes [ ] No [ ] Don’t know |
| 1. **Advance ruling (on tariff classification)***C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf*
 | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 5.1. Are the procedures for submission of an application for advance ruling published? [ ] Yes [ ] No [ ] Don’t know5.2. What is the length of time for which the advance ruling is valid? \_\_\_\_\_ Months [ ] Don’t know5.3. Is it possible to request a review of an advance ruling or its revocation/modification? [ ] Yes [ ] No [ ] Don’t know5.4. Is information on advance rulings of significant interest to other parties made publicly available? [ ] Yes [ ] No [ ] Don’t know |
| *C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | *An advance ruling for customs purposes can be defined as a binding official decision prior to an import or export, issued by a competent authority in writing, which provides the applicant with a time-bound tariff classification, valuation, entitlement to preferences, or an assessment of the origin accorded to a particular good.* |
| 6. **Risk management (as a basis for deciding whether a shipment will be or not physically inspected)***C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 6.1. Are policies/procedures established to ensure that risk information (e.g., profiles and related control instructions) are disseminated to all relevant Customs offices and used in customs declaration processing? [ ] Yes [ ] No [ ] Don’t know6.2. Do different border agencies in your country use the same risk management approach? [ ] Yes [ ] No [ ] Don’t know6.3. Does your country give appropriate priority to perishable goods when scheduling physical examinations? [ ] Yes [ ] No [ ] Don’t know6.4. Are cold storage facilities available at land border crossings for perishable goods? [ ] Yes [ ] No [ ] Don’t know |
| *C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | ‘*Risk’ means the potential for non-compliance with customs and/or other relevant laws, regulations or procedural requirements connected with the importation, exportation or transit of goods. Risk Management means the systematic application of management procedures and practices providing customs and other relevant border agencies with the necessary information in order to address movements or consignments which present a risk.* |
|  **7. Pre-arrival processing***C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 7.1. Are procedures published to allow a declarant to lodge, and to require the customs and/or other relevant authorities to process, declarations and supporting documents prior to arrival of the goods? [ ] Yes [ ] No [ ] Don’t know7.2. Is pre-arrival processing applicable to all traders? [ ] Yes [ ] No [ ] Don’t know7.3. Is pre-arrival processing applicable to all goods? [ ] Yes [ ] No [ ] Don’t know |
| *C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | *Pre-arrival processing can be described as a procedure allowing traders to submit clearance data to Customs for advance processing and release of the goods immediately upon arrival into the country. Release may even take place prior to the actual arrival of the goods, provided all necessary details have been communicated and screened by Customs in advance*. |
| **8. Post-clearance audit***C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 8.1. Are standard policies and procedures established to guide field audits (i.e., notification of audit, disclosure of audit report, notification of audit results)?[ ] Yes [ ] No [ ] Don’t know |
| *C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | *Post-clearance audit means audit-based Customs control performed subsequent to the release of the cargo from Customs' custody. The purpose of such audits is to verify the accuracy and authenticity of declarations and covers the control of traders' commercial data, business systems, records, books. Such an audit can take place at the premises of the trader, and may take into account individual transactions, so-called "transaction based" audit, or cover imports and/or exports undertaken over a certain period of time, so called "company based" audit.* |
| **9. Independent appeal mechanism (for traders to appeal Customs and other relevant trade control agencies’ rulings)** | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 9.1. Are the procedures for filing an administrative appeal published? [ ] Yes [ ] No [ ] Don’t know |
| **10. Separation of Release from final determination of customs duties, taxes, fees and charges** | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 10.1. Does Customs have authority to release imported goods before the final determination and payment of duties, taxes, fees and charges under some conditions (e.g., a guarantee is provided)? [ ] Yes [ ] No [ ] Don’t know10.2. Is it applicable to all goods? [ ] Yes [ ] No [ ] Don’t know10.3. Is it applicable to all traders? [ ] Yes [ ] No [ ] Don’t know10.4. Does your country give appropriate priority to perishable goods? [ ] Yes [ ] No [ ] Don’t know |
| **11. Establishment and publication of average release times** | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 11.1. Has Customs (or other responsible authority) established a formal policy to implement and publish the results of a time release study on a regular basis? [ ] Yes [ ] No [ ] Don’t know |
| **12. Trade facilitation measures for authorized operators***C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 12.1. Has an authorized operator programme/scheme been established in your country? [ ] Yes [ ] No [ ] Don’t know12.2. Are procedures on submission and review of applications for authorized operator status published? [ ] Yes [ ] No [ ] Don’t know |
| *C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | *Authorized operators refers to parties involved in the international movement of goods (e.g., a manufacturer, trader, carrier, terminal operator…) that have been recognized by Customs or other relevant authorities as having achieved high-level of compliance with trade-related laws and regulations and, therefore, are provided with simplified import, export and transit formalities.* |
| **13. Expedited shipments***C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 13.1. Is policy or legal framework in place to allow Customs to provide expedited release of goods on the request of an operator? [ ] Yes [ ] No [ ] Don’t know13.2. Are procedures on rapid release of expedited shipments published? [ ] Yes [ ] No [ ] Don’t know |
| *C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | *Expedited shipments refer to trade facilitation procedures allowing for expedited release of at least those goods entered through air cargo facilities to persons (e.g., express shipping company) that apply for such faster procedure, while maintaining Customs control. The applicant typically has to cover the additional costs involved in expediting release.* |
| **14. Acceptance of paper or electronic copies of supporting documents required for import, export or transit formalities.** | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| PAPERLESS TRADE FACILITATION |
| **15. Electronic/automated Customs System (e.g., ASYCUDA)** | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| **16. Internet connection available to Customs and other trade control agencies at border-crossings** | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| **17. Electronic Single Window System***C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 17.1. Are all relevant trade facilitation stakeholders (including government agencies, traders and other stakeholders) connected to electronic single window system? [ ] Yes [ ] No [ ] Don’t know17.2. Is there a legal framework requiring all trade-related government agencies to use electronic single window system? [ ] Yes [ ] No [ ] Don’t know17.3. Is electronic single window system fully functional? [ ] Yes [ ] No [ ] Don’t know17.4 is there a single window available at city or provincial level? [ ] Yes [ ] No [ ] Don’t know |
| *C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | *A national single window refers to a facility that allows parties involved in trade and transport to lodge standardized information and documents with a single entry point to fulfil all import, export, and transit-related regulatory requirements. If information is electronic, then individual data elements should only be submitted once. An electronic single window refers to a single window where data and documents are exchanged in electronic form. Please refer to definition of single window* |
| **18. Electronic submission of Customs declarations**  | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  | ***Submitted through e- single window?***[ ] yes[ ] No[ ] Don’t know |
| 18.1. Is procedure on electronic submission of Customs declaration published? [ ] Yes [ ] No [ ] Don’t know18.2. Is any paper document still required for submission? [ ] Yes [ ] No [ ] Don’t know |
| **19. Electronic Application and Issuance of Trade Licenses**  | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  | ***Submitted through e- single window?***[ ] yes[ ] No[ ] Don’t know |
| 19.1. Is relevant procedure published? [ ] Yes [ ] No [ ] Don’t know19.2. Is any paper document still required for submission? [ ] Yes [ ] No [ ] Don’t know |
| **20. Electronic Submission of Sea Cargo Manifests**  | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  | ***Submitted through e- single window?***[ ] yes[ ] No[ ] Don’t know |
| 20.1. Is relevant procedure published? [ ] Yes [ ] No [ ] Don’t know20.2. Is any paper document still required for submission? [ ] Yes [ ] No [ ] Don’t know |
| **21. Electronic Submission of Air Cargo Manifests**  | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  | ***Submitted through e- single window?***[ ] yes[ ] No[ ] Don’t know |
| 21.1. Is relevant procedure published? [ ] Yes [ ] No [ ] Don’t know21.2. Is any paper document still required for submission? [ ] Yes [ ] No [ ] Don’t know |
| **22. Electronic Application and Issuance of Preferential Certificate of Origin**  | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  | ***Submitted through e- single window?***[ ] yes[ ] No[ ] Don’t know |
| 22.1. Is relevant procedure published? [ ] Yes [ ] No [ ] Don’t know22.2. Is any paper document still required for submission? [ ] Yes [ ] No [ ] Don’t know |
| **23. E-Payment of Customs Duties and Fees**  | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  | ***Submitted through e- single window?***[ ] yes[ ] No[ ] Don’t know |
| 23.1. Is relevant procedure published? [ ] Yes [ ] No [ ] Don’t know23.2. Is any paper document still required for submission? [ ] Yes [ ] No [ ] Don’t know |
| **24. Electronic Application for Customs Refunds**  | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  | ***Submitted through e- single window?***[ ] yes[ ] No[ ] Don’t know |
| 24.1. Is relevant procedure published? [ ] Yes [ ] No [ ] Don’t know24.2. Is any paper document still required for submission? [ ] Yes [ ] No [ ] Don’t know |
| TOWARDS CROSS-BORDER PAPERLESS TRADE |
| **25. Laws and regulations for electronic transactions are in place (e.g. e-commerce law, e-transaction law)** | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 25.1. Please list the key laws and regulations for electronic transactions (up to 3) (*please answer this question in Annex 1*) |
| **26. Recognised certification authority issuing digital certificates to traders to conduct electronic transactions*C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf*** | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 26.1. Please list the key certification authorities (up to 3) (*please answer this question in Annex 1*) |
| *C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf* | *For digital signatures to work, a trusted third party known as a Certification Authority is needed to issue digital certificates that certify the electronic identities of users and organisations. Examples of such certification authority include Controller of Certification Authorities in Malaysia and Singapore.* |
| **27. Engagement of your country in trade-related cross-border electronic data exchange with other countries** | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 27.1. Please list the key documents electronically exchanged with which countries (up to 6)(*please answer this question in Annex 1*) |
| **28. Certificate of Origin electronically exchanged between your country and other countries**  | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 28.1. Please list the key countries with which Certificate of Origin are electronically exchanged (up to 6).(*please answer this question in Annex 1*) |
| **29. Sanitary &Phyto-Sanitary Certificate electronically exchanged between your country and other countries** | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 29.1. Please list the key countries with which Sanitary &Phyto-Sanitary Certificate are electronically exchanged (up to 6).(*please answer this question in Annex 1*) |
| **30. Banks and insurers in your country retrieving letters of credit electronically without lodging paper-based documents** | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 30.1 Please list the banks and insurers in your country which can retrieve letters of credit electronically without lodging paper-based documents.(*please answer this question in Annex 1*) |  |  |
| BORDER AGENCY COOPERATION |
| **31. Cooperation between agencies on the ground at the national level** | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 31.1. Does legislation or government policy enable or require national border agencies to coordinate, cooperate and assist each other in carrying out border control operations? [ ] Yes [ ] No [ ] Don’t know31.2. Is there an inter-agency agreement or memorandum-of-understanding that defines modes of cooperation among national border authorities? [ ] Yes [ ] No [ ] Don’t know31.3. Is there an inter-agency working group or similar body to develop strategy and oversee implementation of border agency cooperation?  [ ] Yes [ ] No [ ] Don’t know |
| **32. Government agencies delegating controls to Customs authorities** | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 32.1. Is there an inter-agency agreement or memorandum-of-understanding that defines how government agencies delegate controls to Customs? [ ] Yes [ ] No [ ] Don’t know |
| **33. Alignment of working days and hours with neighbouring countries at border crossings** | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 33.1. Is there an agreement between countries on alignment of working days and hours? [ ] Yes [ ] No [ ] Don’t know33.2. Is there an agreement between countries on joint controls? [ ] Yes [ ] No [ ] Don’t know33.3. Are there common facilities developed and shared with neighbouring countries? [ ] Yes [ ] No [ ] Don’t know |
| **34. Alignment of formalities and procedures with neighbouring countries at border crossings** | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 34.1. Is there an agreement between countries on alignment of formalities and procedures? [ ] Yes [ ] No [ ] Don’t know34.2. Alignment with which countries?[ ] with all neighbouring countries[ ] with some neighbouring countries Please elaborate \_(you may add information in Annex 1) [ ] with no other countries |
| TRANSIT FACILITATION |
| **35. Transit facilitation agreement(s) with neighbouring country(ies)** | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 35.1. Please list the key transit facilitation agreement(s) with other neighbouring country(ies)(*please answer this question in Annex 1*) |
| **36. Customs Authorities limit the physical inspections of transit goods and use risk assessment**  | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 36.1. Are regulations or rules in place to require Customs Authorities to limit the physical inspections of transit goods and use risk assessment? [ ] Yes [ ] No [ ] Don’t know |
| **37. Supporting pre-arrival processing for transit facilitation**  | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 37.1. Are regulations or rules in place to require Customs Authorities and other border agencies to support pre-arrival processing for transit facilitation? [ ] Yes [ ] No [ ] Don’t know37.2. Does your country allow and provide for advance filing and processing of transit documentation and data prior to the arrival of goods? [ ] Yes [ ] No [ ] Don’t know |
| **38. Cooperation between agencies of countries involved in transit** | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 38.1. Are regulations or rules in place to require Customs Authorities and other border agencies to cooperate to support transit facilitation? [ ] Yes [ ] No [ ] Don’t know |
| **39. Trade and transport facilitation in your subregion or Regional Economic Community (REC)*C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf*** | **FI****[ ]** | **PI****[ ]** | **PS****[ ]** | **NI****[ ]** | **DK****[ ]** |  |  |
| 39.1. Is there a harmonized Customs Guarantee Scheme in your Sub-region or for your REC? [ ] Yes [ ] No [ ] Don’t know |  | [ ] *Not effective at all*[ ] *Somehow effective*[ ] *Neutral (don’t know)*[ ] *Effective*[ ] *Very Effective* |
| 39.2. Does your REC have harmonized transit charges? [ ] Yes [ ] No [ ] Don’t know |  | [ ] *Not effective at all*[ ] *Somehow effective*[ ] *Neutral (don’t know)*[ ] *Effective*[ ] *Very Effective* |
| 39.3. Does your REC have harmonized axle load limits for its member States? [ ] Yes [ ] No [ ] Don’t know |  | [ ] *Not effective at all*[ ] *Somehow effective*[ ] *Neutral (don’t know)*[ ] *Effective*[ ] *Very Effective* |
| 39.4. Does your REC have a Third Party Insurance Scheme? [ ] Yes [ ] No [ ] Don’t know |  | [ ] *Not effective at all*[ ] *Somehow effective*[ ] *Neutral (don’t know)*[ ] *Effective*[ ] *Very Effective* |
| 39.5. Does your REC have a common (harmonised) Customs Document? [ ] Yes [ ] No [ ] Don’t know39.6. Is your country implementing a One Stop Border Post?  [ ] Yes [ ] No [ ] Don’t know |  |  |
| *C:\Program Files (x86)\Microsoft Office\MEDIA\CAGCAT10\j0293236.wmf*(For questions 39.1 through 39.4, please indicate, on the rightmost column titled ‘**Further information…**’ how effective or ineffective have these measures been in reducing trade costs and time in your country. You may choose *Not effective at all*, *Somehow effective*, *Neutral (don’t know)*, *Effective* and *Very Effective* |

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| --- |
|  |

**SECTION C – KEY CHALLENGES AND RECOMMENDATIONS FOR TRADE FACILITATION & PAPERLESS TRADE**

**1. Referring to measures listed in Section B, please list up to three trade facilitation measures for which your country has made the most progress in implementation in the last 12 months.**

|  |
| --- |
| --- |

**2. Please describe any other important trade facilitation measures/initiatives implemented in your country in the last 12 months:**

|  |
| --- |
|  |

**3. What are the most serious challenges faced by your country in implementing trade facilitation measures**

*(Please rank the three main challenges from 1 to 3. ‘1’: the most challenging factor; ‘3’: the least challenging factor)*

|  |
| --- |
| [ ] Lack of coordination between government agencies [ ] Lack of political will[ ] No clearly designated lead agency[ ] Financial constraints[ ] Limited human resource capacity[ ] Other\_\_\_\_\_\_\_\_\_\_\_Please elaborate further:  |

**ANNEX 1 – ADDITIONAL INFORMATION**

Most respondents may choose to fill in the questionnaire using electronic file. However, if respondents choose to work on the printed questionnaire; there might not be enough space to answer some questions included in Sections A – C (e.g., question 35.1). If this is the case, please attach more papers to provide answers to some questions using the format below.

Answer to question \_\_\_\_ (here, the respondent needs to put the number of the question, e.g., 35.1): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Answer to Question \_\_\_\_\_:

Answer to Question \_\_\_\_\_\_:

 **\*\*\*\*\*\* End of Survey, Thank You for Your Time \*\*\*\*\*\***