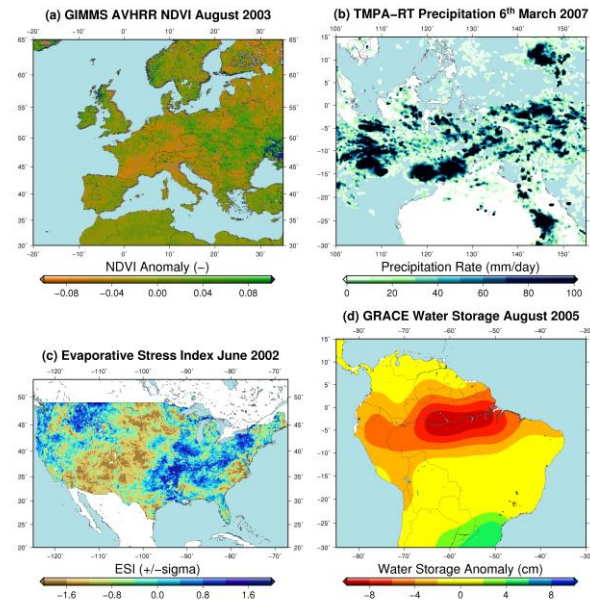


# Drought Research at Princeton University and University of Southampton

Justin Sheffield, Nate Chaney, Colby Fisher, Ming Pan, Eric F. Wood  
Princeton University, University of Southampton, PCA



# Outline of Talk

- Background of drought impacts
- Overview of research topics at Princeton/Southampton
- A few quick examples of research
- Focus on drought monitoring and prediction in sub-Saharan Africa
  - Challenges for sub-Saharan Africa
  - Current capabilities (national, regional, international)
  - Princeton African (Flood and) Drought Monitor
  - Approach and Implementation
  - Evaluation and Validation (large-scale and local scale)
  - Translating climate and hydrological information into decision making
  - Challenges, opportunities, ...

# Droughts arguably cause the most impacts of all natural hazards in terms of the number of people affected and the long-term economic costs and ecosystem stresses.

Reduced water levels/supply: public, industry and power generation



NY Times



Reduced agricultural, forestry and fisheries productivity



BBC

Increased livestock mortality rates



Increased fire hazard/tree die off

John McColgan/US Forest Service

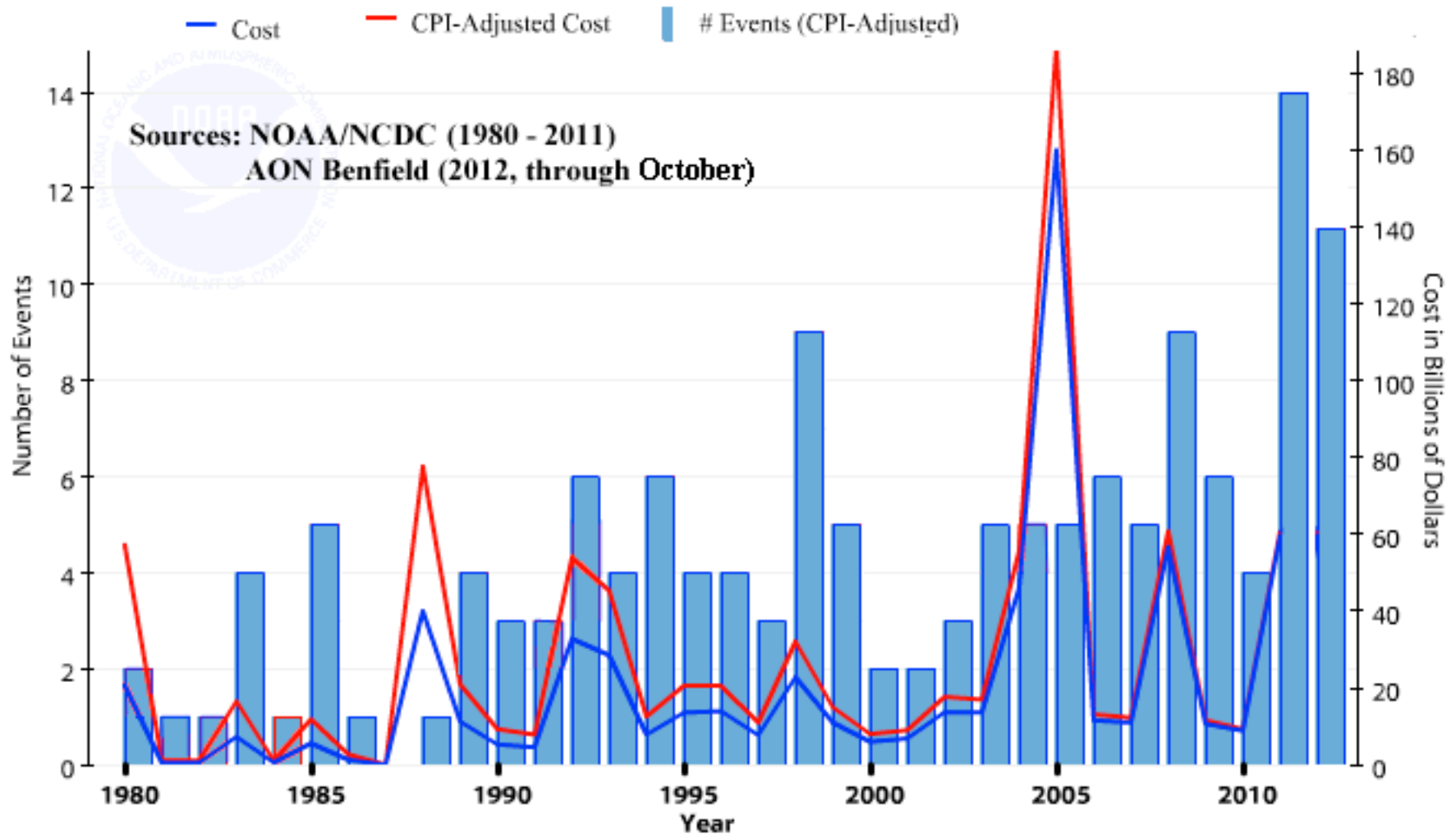
Damage to wildlife habitat



BBC

# The Cost of Drought

## Billion-Dollar U.S. Weather Disasters, 1980 - 2012



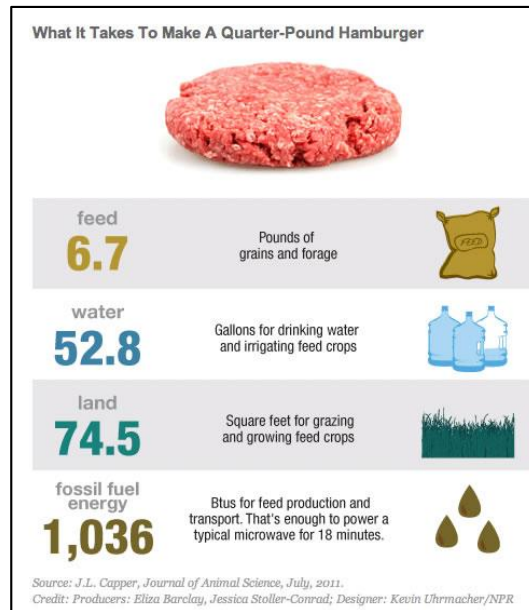


# Water Availability is Facing Multiple Global Pressures

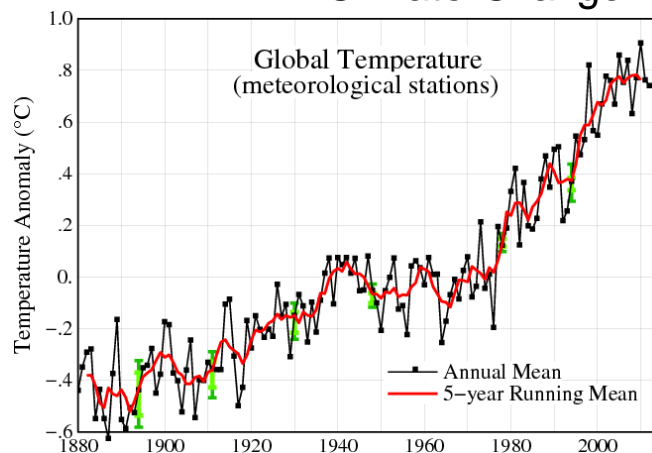


Population growth and changing demographics

Agricultural demand and changing diets



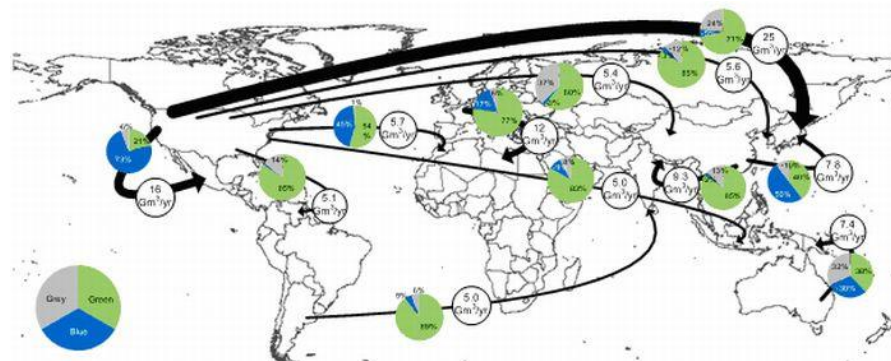
## Climate Change



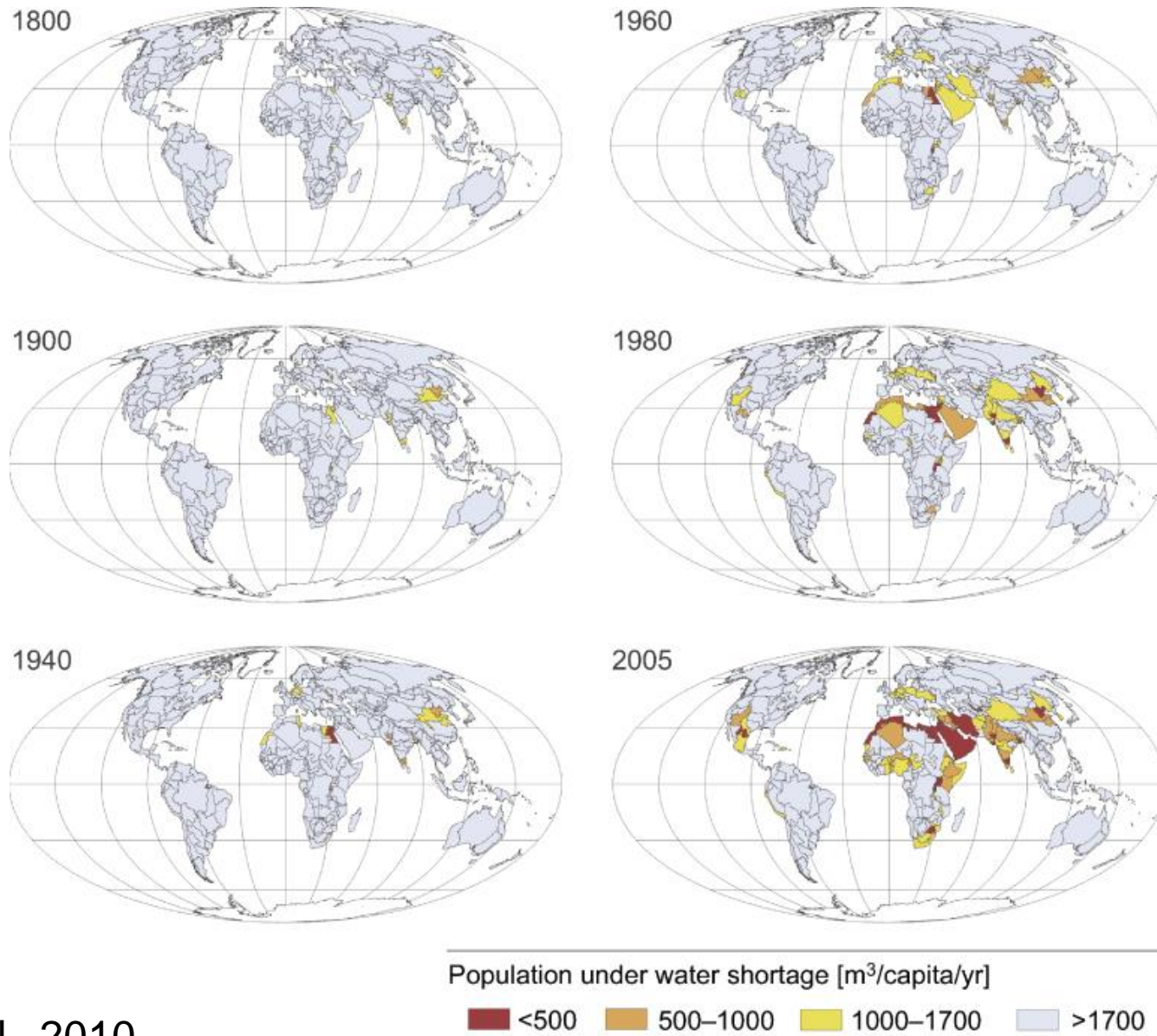
Unsustainable water use



We live in a connected world



# Regional Hotspots of Water Shortages are Emerging



**Water scarcity is increasing as driven by human pressures on demand**

**But supply is also not static and is driven by climate variability**

**Persistent/severe drought can magnify the impacts, especially for already-stressed systems**

**And there is potential for climate change to exacerbate problems in the future**

# Research questions that we are interested in

How does the terrestrial hydrological cycle vary over diurnal to centennial time scales?

Is the hydrological cycle accelerating in response to global warming?


How are extreme events such as drought changing?

What are the mechanisms of drought development and recovery?

What are the uncertainties in future projections of hydrological change?

How do human activities feedback with the climate and water systems?

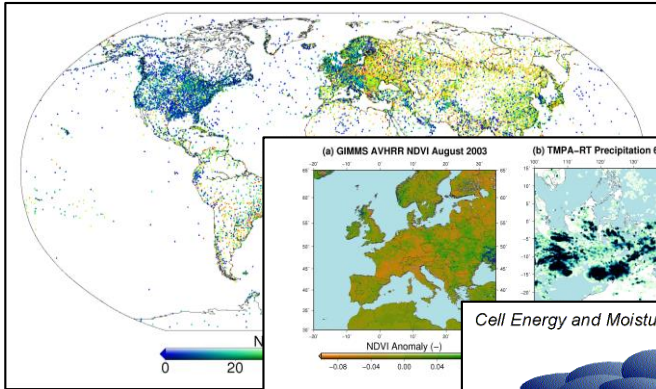
How can we use this research to improve societal resilience to short term climate variability and adaptation to long-term climate change?



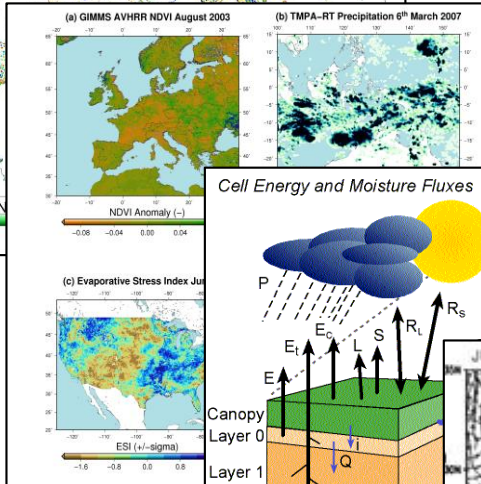


# Research Tools that We Use

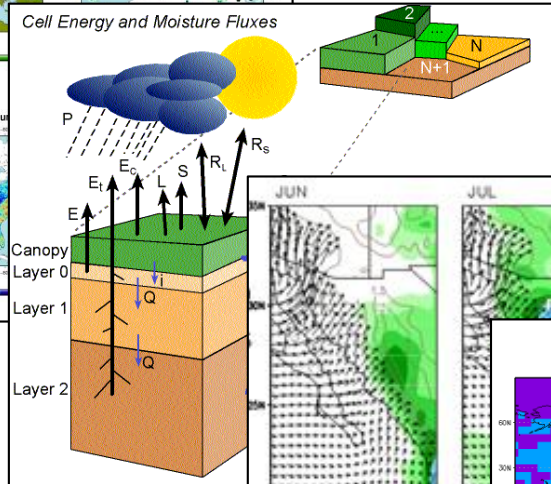
Ground Observations



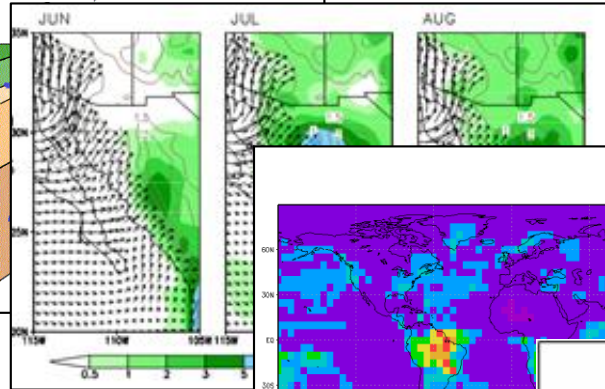
Remote sensing



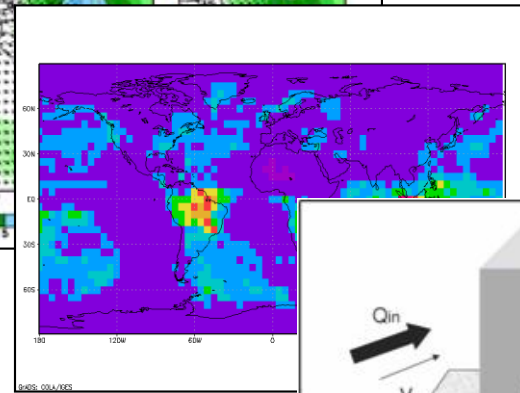
Land Surface Modeling



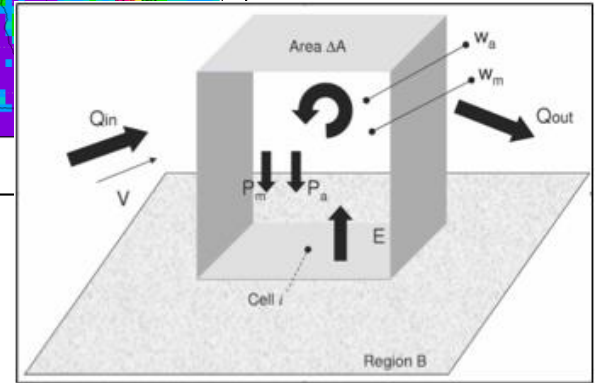
Reanalysis/RCM



Climate Models

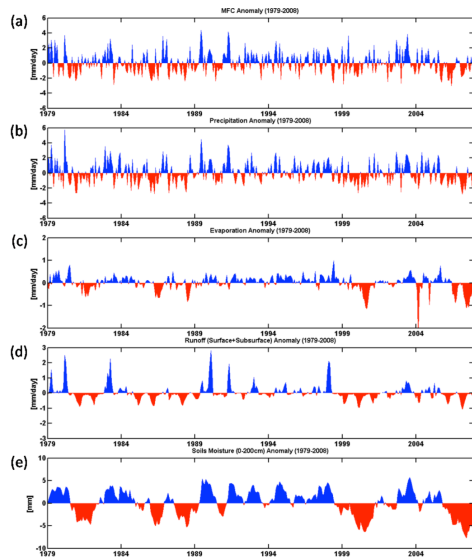


Diagnostic Tools

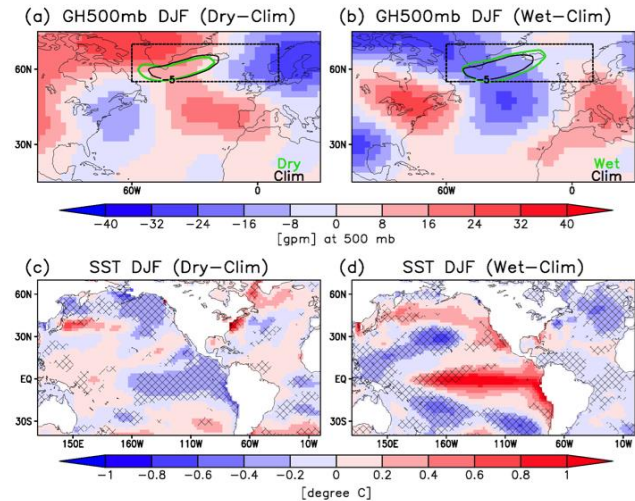


# Example 1: Understanding Drought

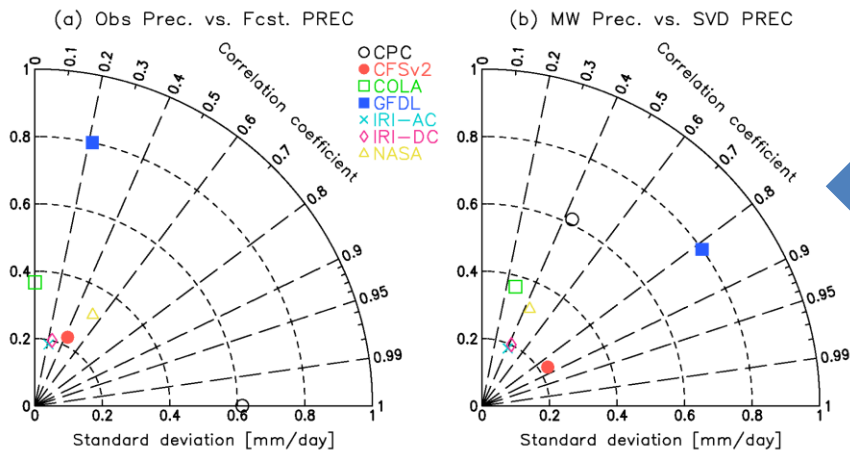
## 1. Drought Reconstruction



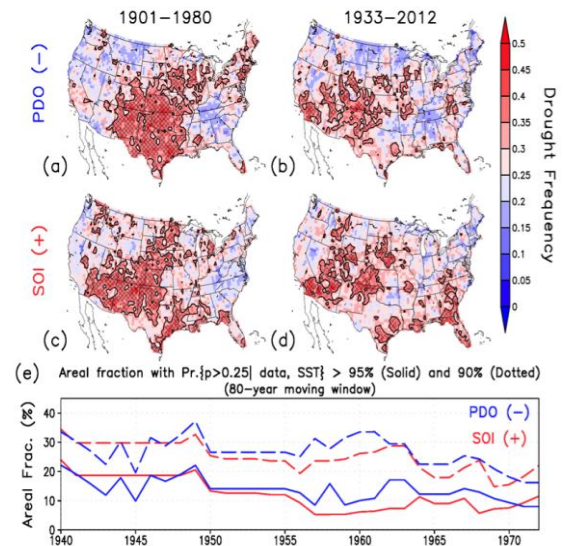
## 2. Drought Mechanisms



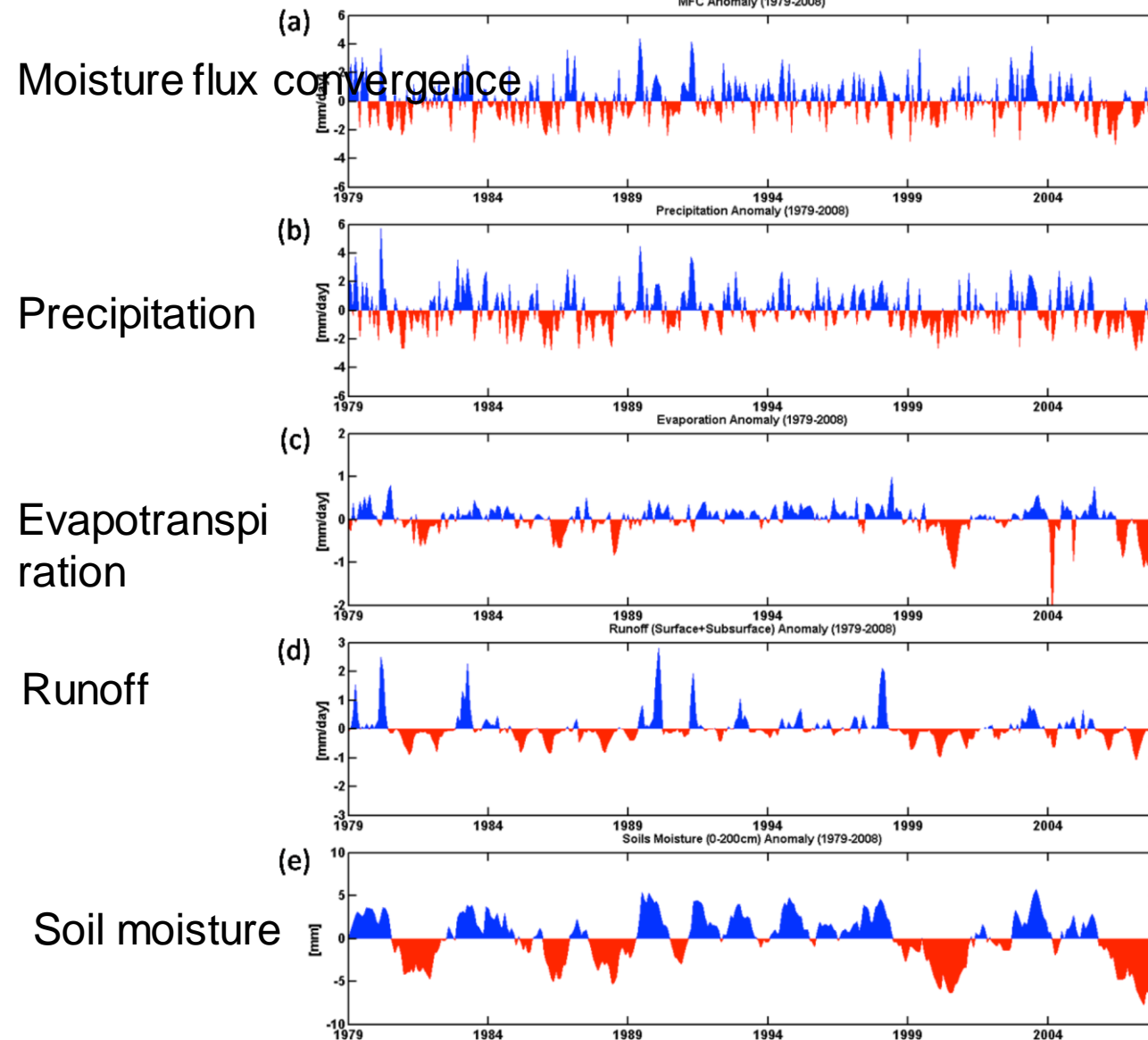
## 4. Drought Predictability



## 3. Drought Risk



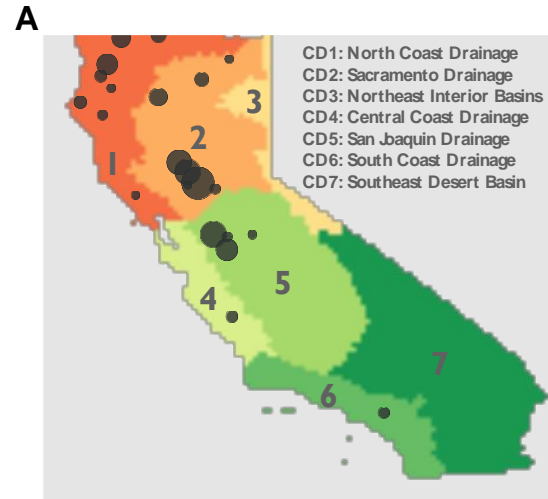
# Drought Reconstruction



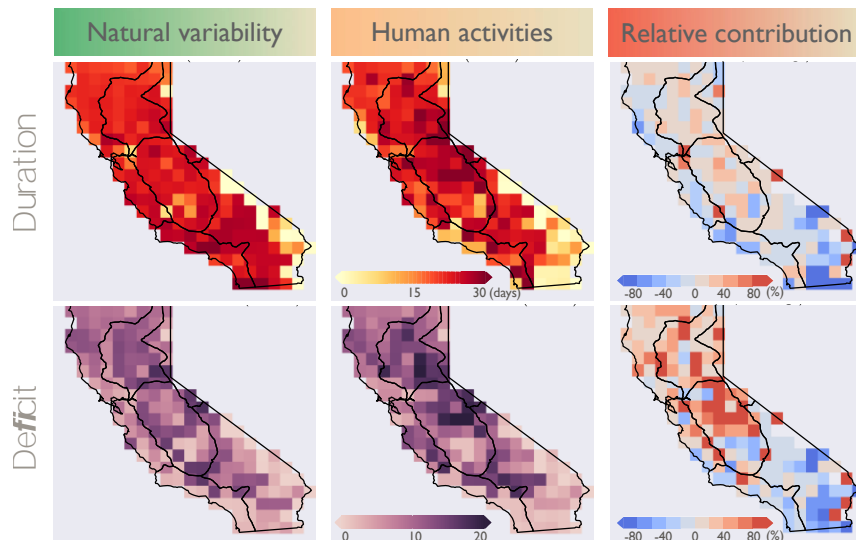
Propagation of drought signal from the atmosphere to the land surface to the sub-surface

# Example 2. Impact of Water Use and Management on Drought

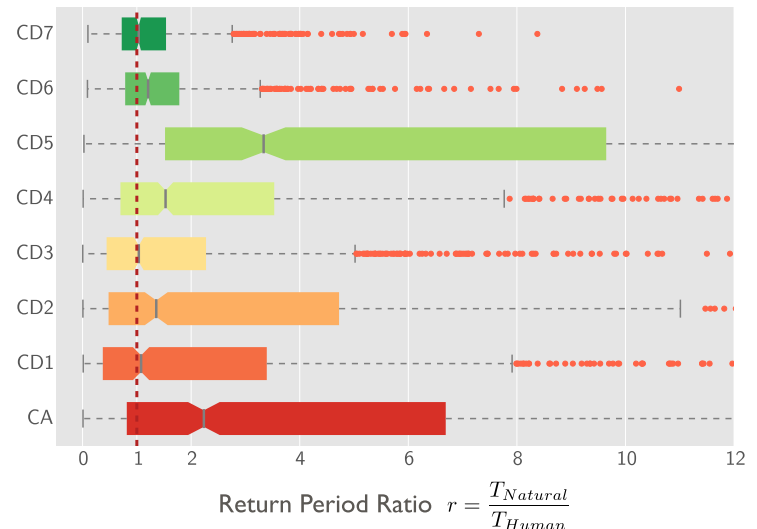
- Impact of management (reservoir operations, irrigation, power generation) can be large but relatively unknown
- Incorporation of management and water use into a hydrological model allows us to attribute human influences on drought



California hydrological drought duration and deficit



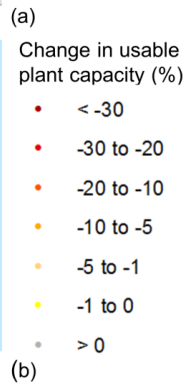
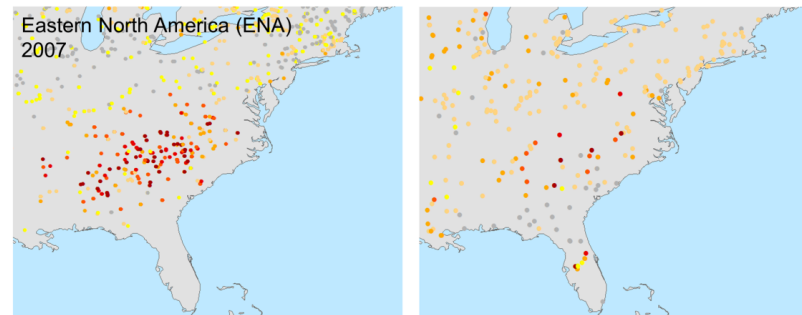
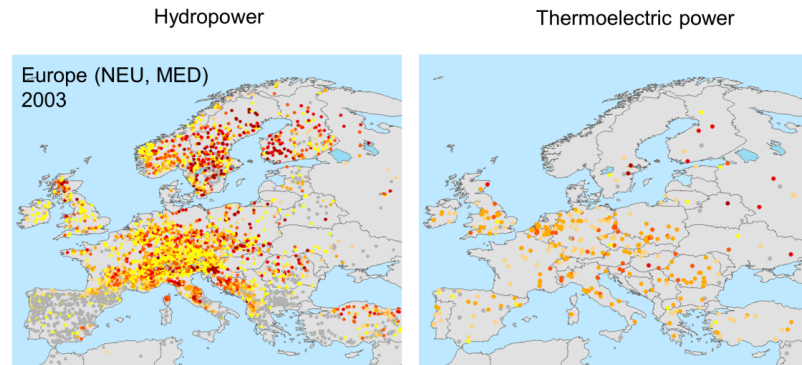
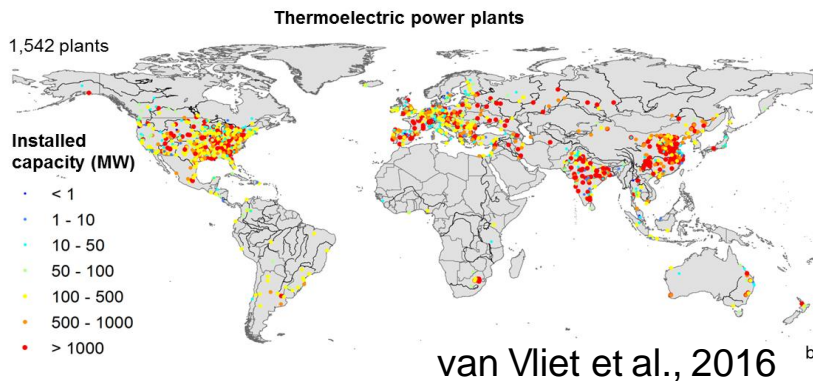
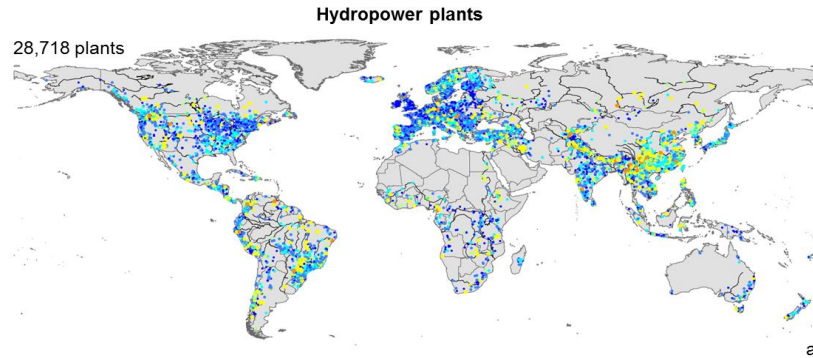
Return period ratio (natural/human) of 2014/15 drought





# Example 3. Climate-Water Impacts on Energy Production

Understanding how drought and heat-waves intersect to affect hydropower and thermoelectric power generation and changes in demand under current and future climates – and the consequences for pollutant and GHG emissions

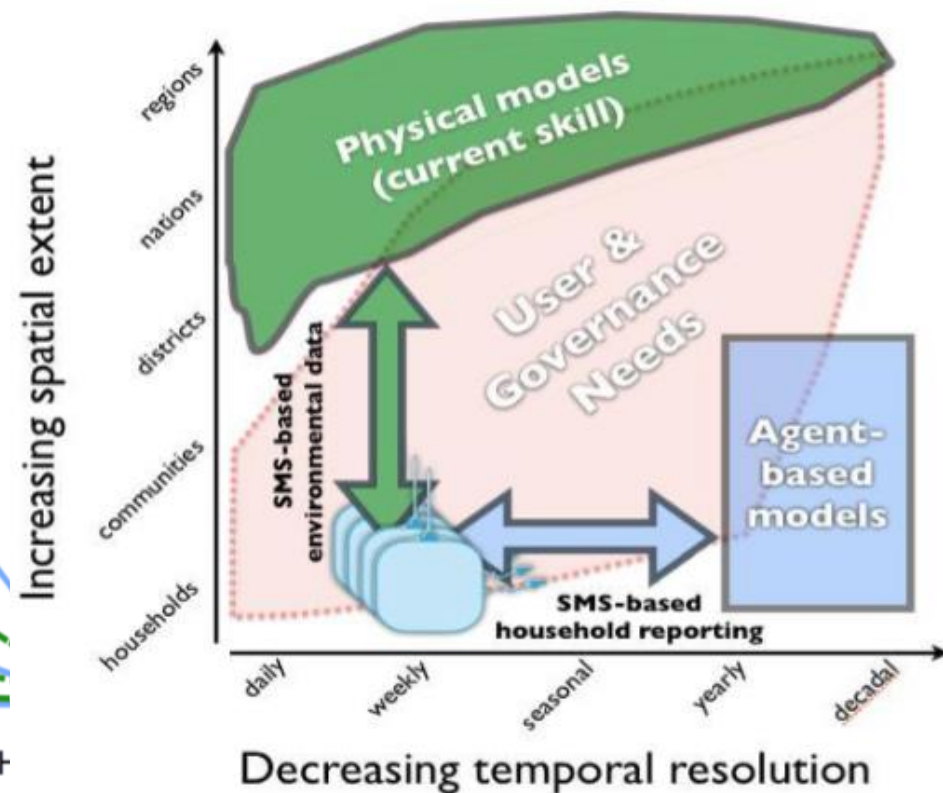
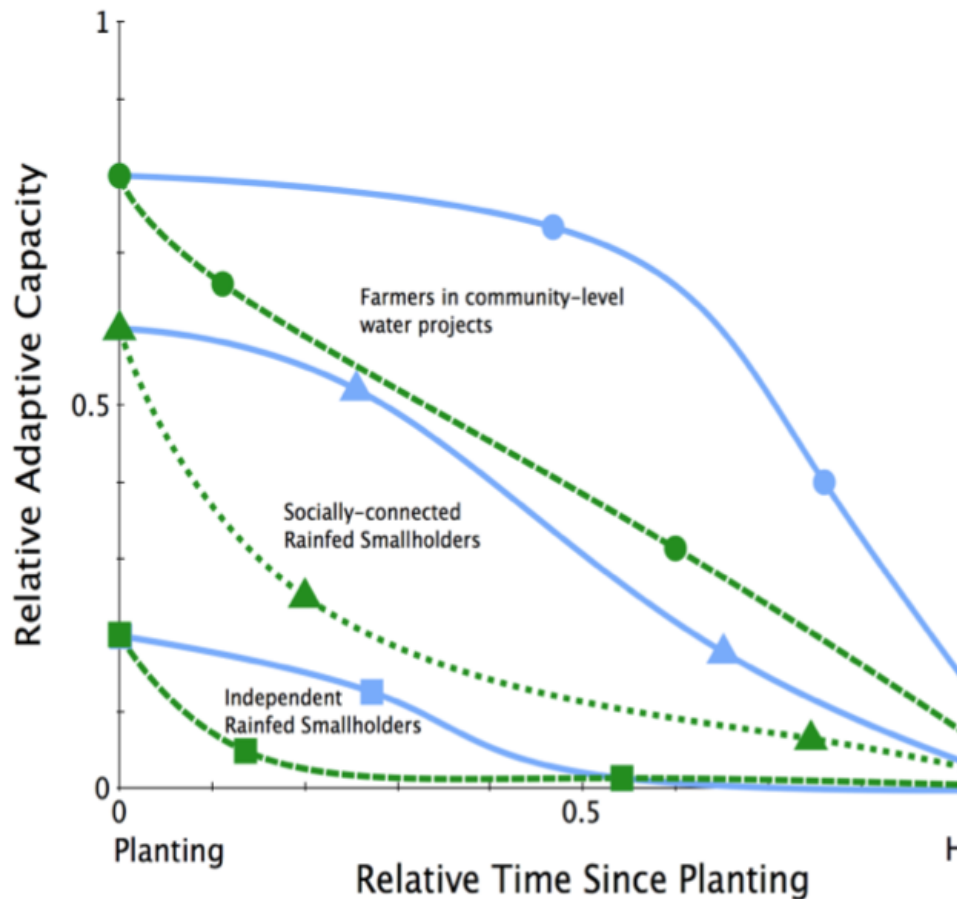


Change in hydropower and thermoelectric power usable capacity for the drought, warm year of 2003 in Europe (a) and 2007 in the Eastern North America (b) relative to the average for 1981-2010.

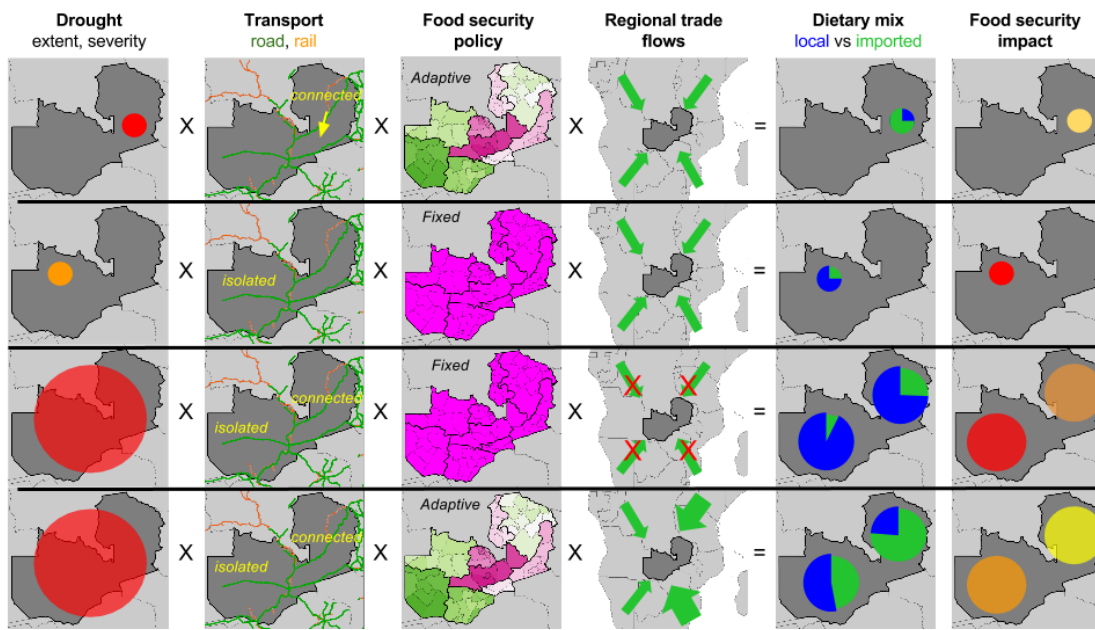
# Example 4. Understanding Resilience of Smallholder Farmers in Kenya and Zambia

Intra-seasonal adaptive capacity of smallholder farmers differs under different social, institutional and environmental conditions

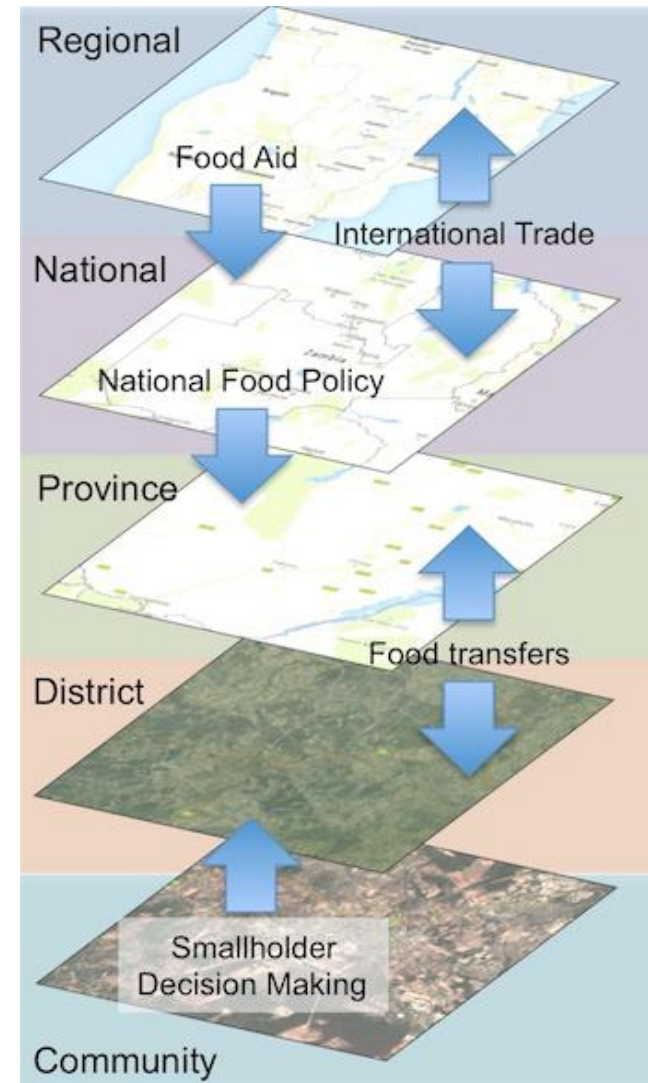
Solving this requires information at the scales of decision making and bringing the skill of models down to this scale



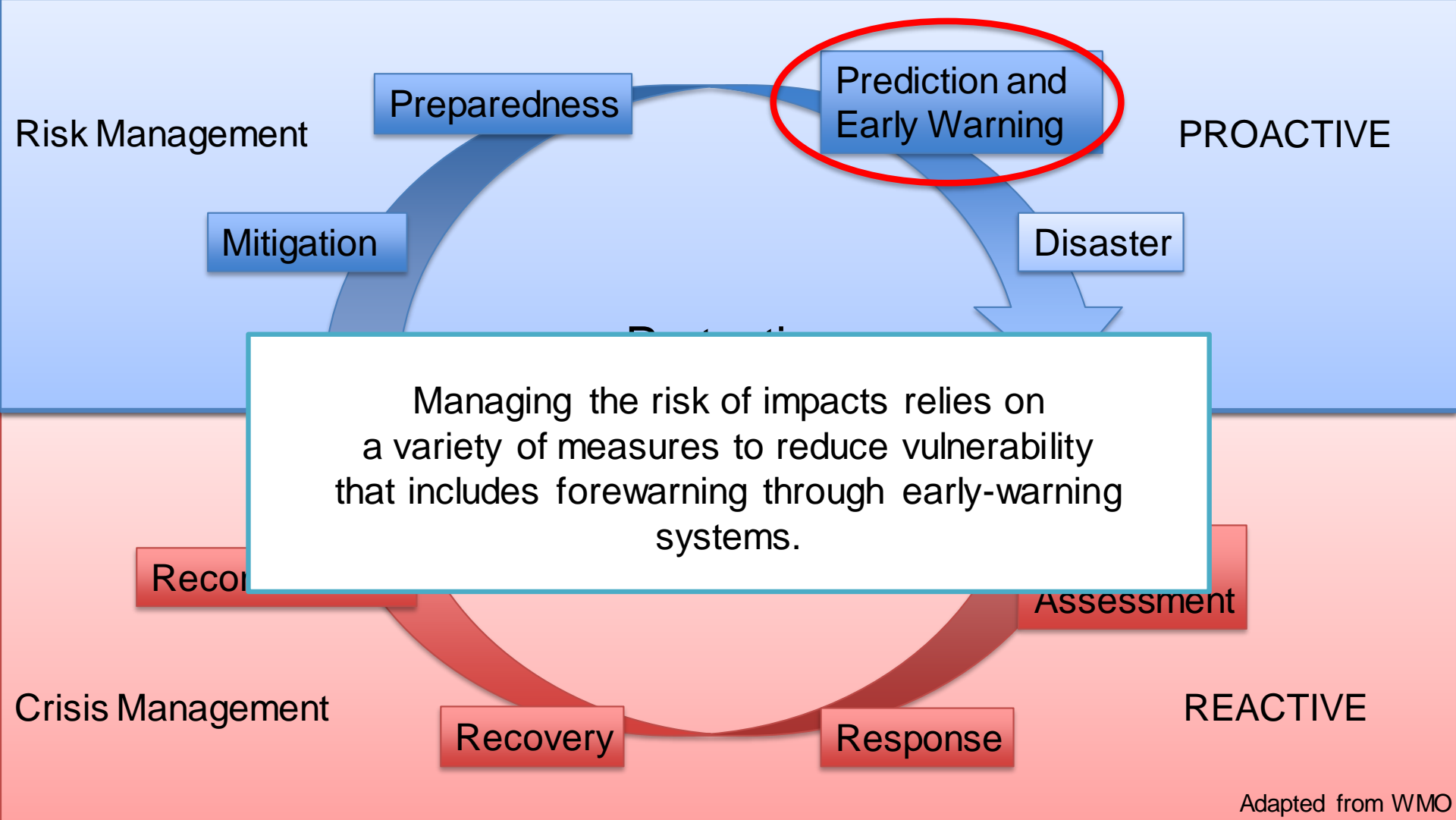
# Example 5. Understanding cross-scale interactions on drought impacts on food security in Zambia



(Above) Examples of food security scenarios, illustrating the interactions between droughts of different scale and impact (localized or national coverage; mild or severe), transportation access (isolated or connected), food security policies (fixed or adaptive sub-nationally), and regional trade (free trade, preferential trade or trade barriers), and their impacts on average household dietary mix (ratio of local to imported food) and overall food security.



# How do we use this research to reduce the impacts of drought?

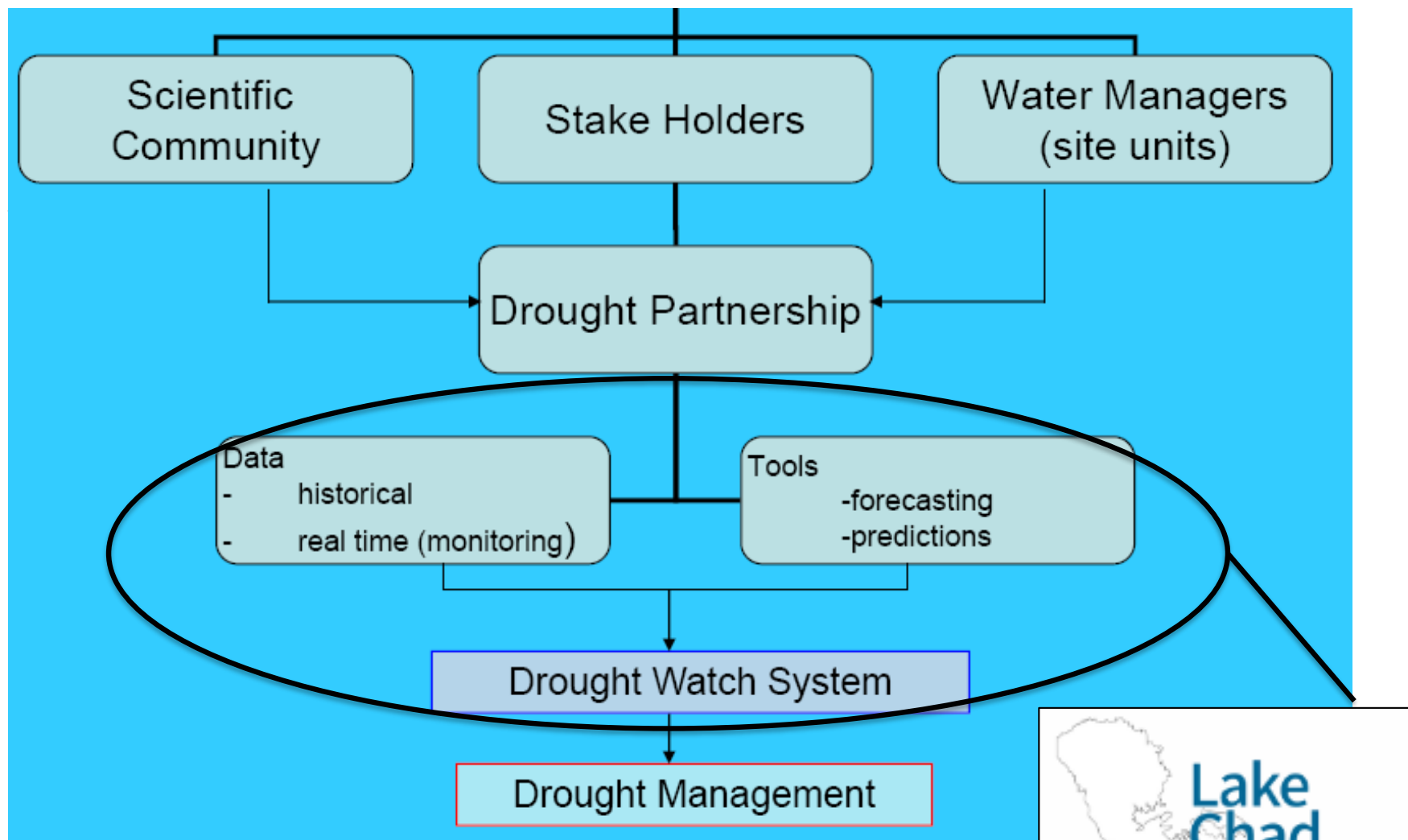


Adapted from WMO

US Federal Emergency Management Agency (FEMA) and other disaster management organizations estimate that for every \$1 spent on reducing vulnerability to disaster \$4 is saved.



# Drought Early Warning System Components



# National/Regional Capability for Drought Monitoring

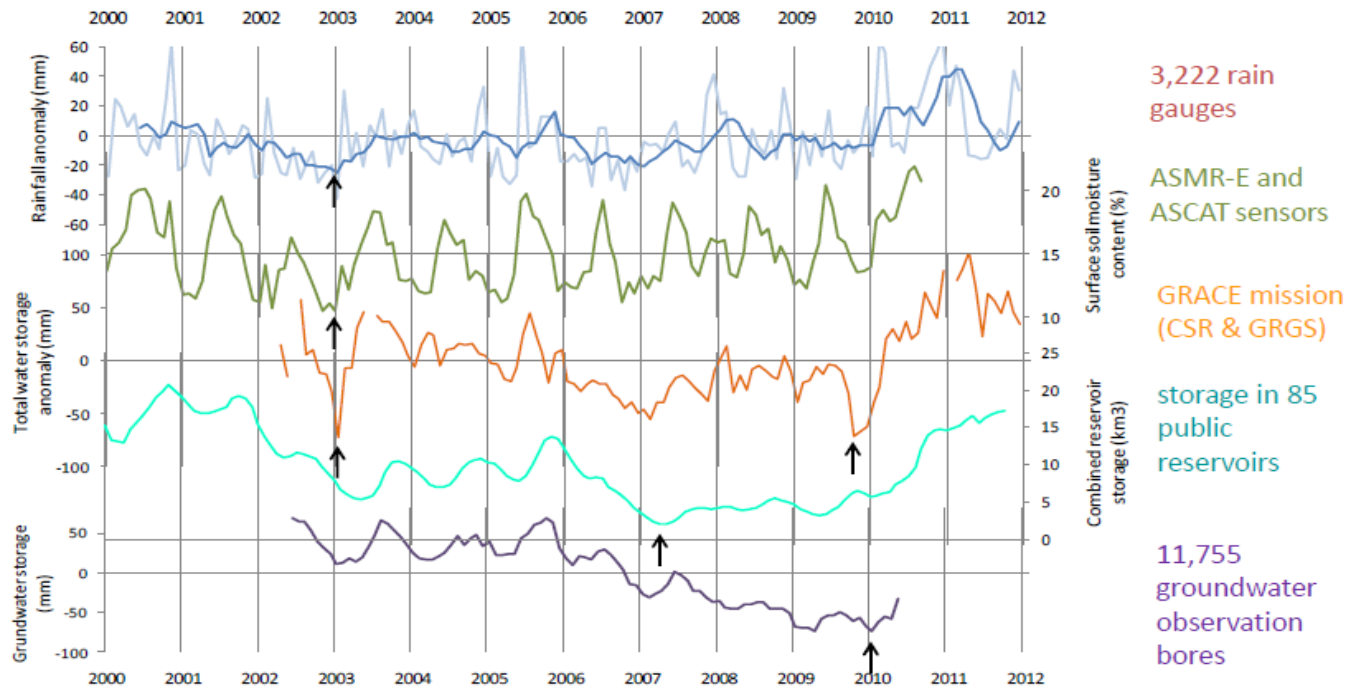
One Conceptual Framework	Level 1 (NADM Model)	Level 2	Level 3
Drought Experts	In-house expertise for monitoring, forecasting, impacts, research, planning, education	Limited in-house expertise	Rely on external expertise
National Climate Observing Network	Extensive data networks, near-real time daily observations	Limited networks (spatial density and/or timeliness)	Rely on national CLIMAT/ WWW reports and external observations (e.g., satellite obs & global models)
National Drought Assessments	National Drought Monitor already routinely produced timely (monthly or more frequently)	National assessments produced to support regional/continental monitoring	Rely on external expertise to produce national assessments
International Data Exchange	Station data exchanged for creation of regional or continental standardized indicators	Limited data exchanged internationally	Only CLIMAT or WWW data exchanged internationally
International Collaboration	National experts collaborate to create regional or continental Drought Monitor	Some national input to regional or continental Drought Monitor	Rely on external experts to produce national assessment for regional/continental Monitor
IT Infrastructure	ArcGIS, web, email	Limited ArcGIS, web, and/or email access	No IT infrastructure, rely on alternatives



Decreasing capability

# Practical Requirements of Regional to Global Early Warning Systems

- extensive data networks with near-real-time daily observations
- historical and near-real-time data exchange
- operational drought analyses creating National Drought Monitoring products
- collaborative drought monitoring and research
- common IT infrastructure (email, web, GIS; OGC-compliant)



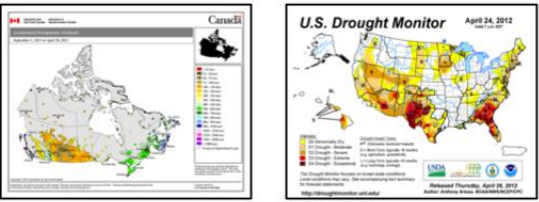
# Two Approaches to Regional to Global Drought Early Warning

- Top-down system uses remote sensing and distributed hydrological models at scales down to km
  - Single point of failure with global top down system
  - May not represent local impacts
- Bottom-up system combines drought monitors for each nation's hydrometeorological or space agency drought monitor
  - Methodology for combining diverse individual drought monitors is required
- *Combined* Top Down and Bottom Up System
  - Complementary top down application where drought monitoring capability is lacking or where local information can be merged

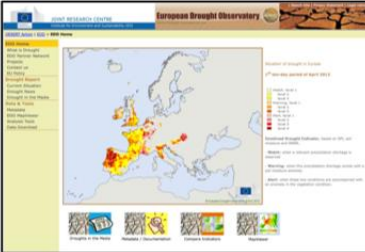


# The Global Drought Early Warning System (GDEWS) is a conceptual framework that is a bottom-up approach that integrates continental, regional and national drought monitors

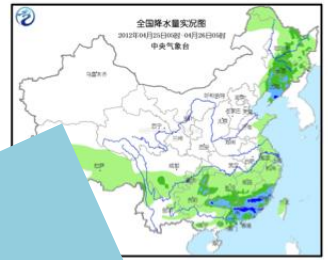
### North American Drought Monitor



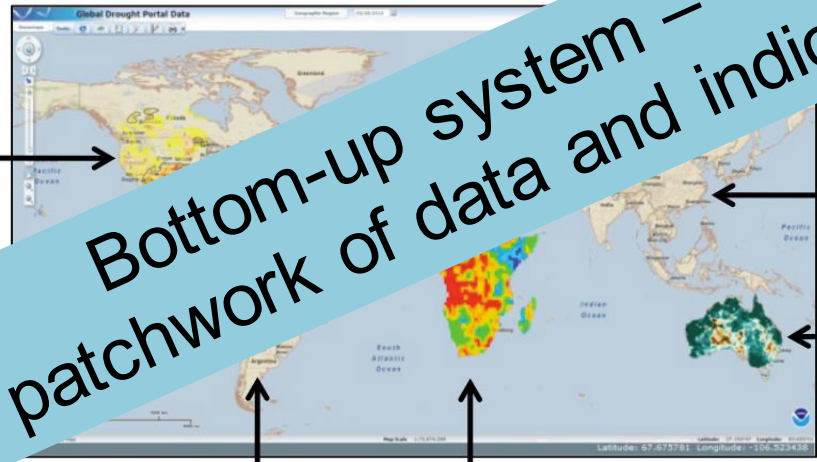
### European Drought Observatory



### China Meteorological Administration

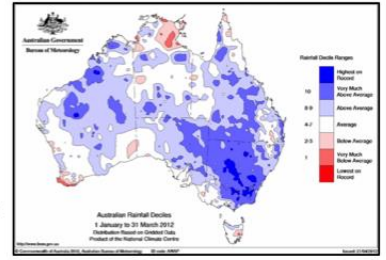


### NCDC Global Drought Monitor

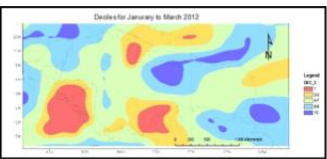


**Bottom-up system – a patchwork of data and indices**

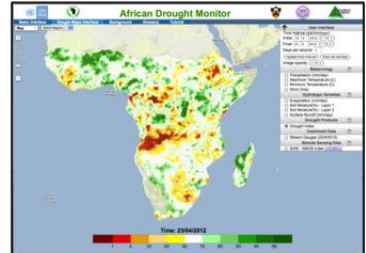
### Australian Bureau of Meteorology



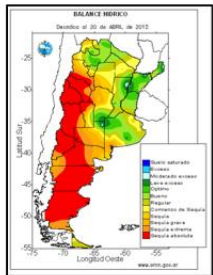
### The Caribbean Drought Monitoring Network



### Princeton Experimental African Drought Monitor

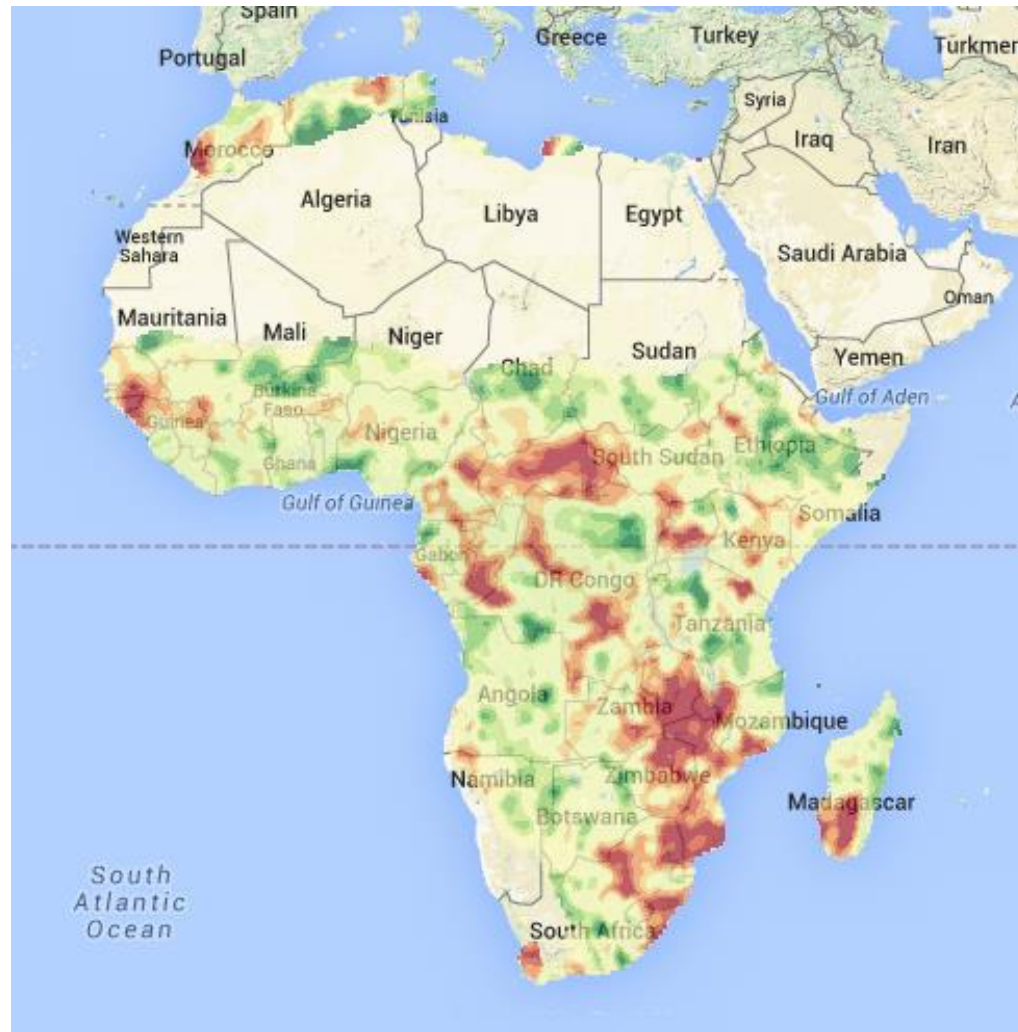


### Argentina National Meteorological Service



# Our Top-Down Approach for Drought Monitoring

Continental hydrological modeling and data assimilation of remote sensing

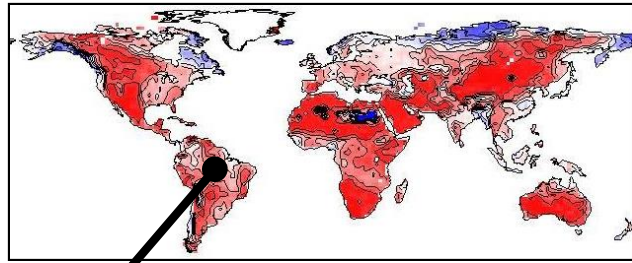


# Meeting in the middle: bottom up meets top down

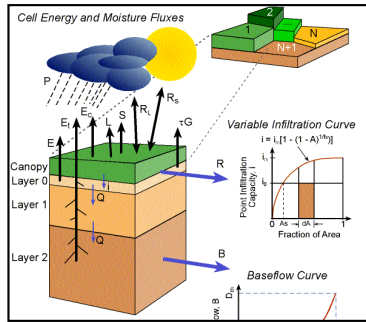




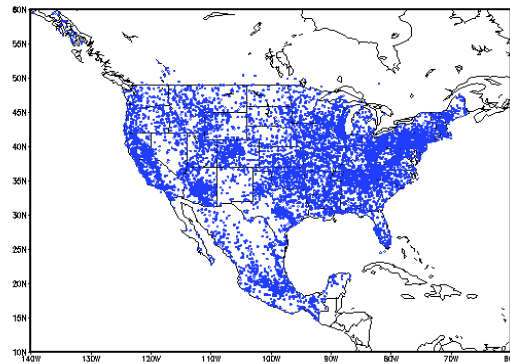
# Data and Tools for Drought Monitoring and Prediction



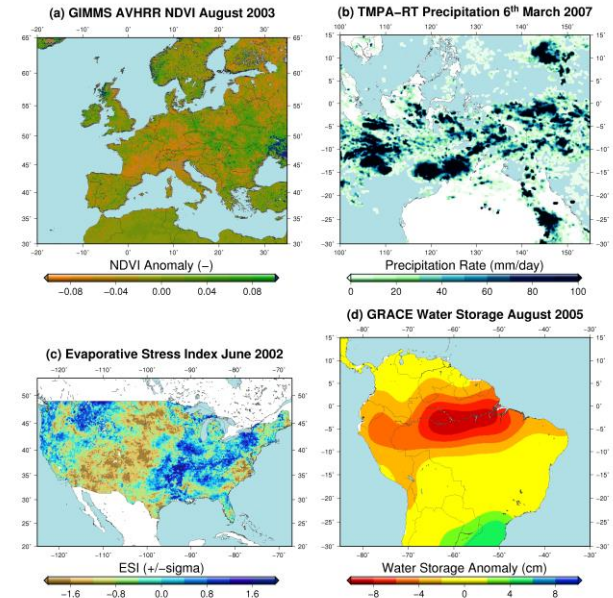
## Hydrological Modeling



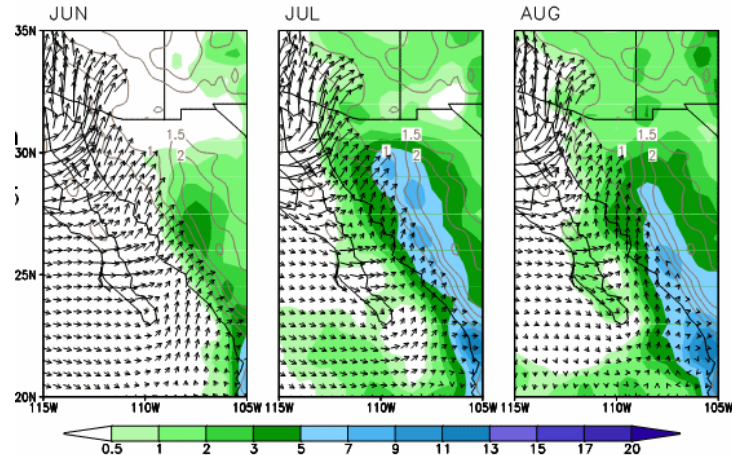
## Ground Observations



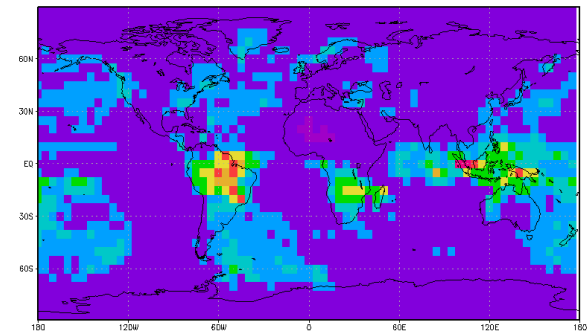
## Satellite Remote Sensing



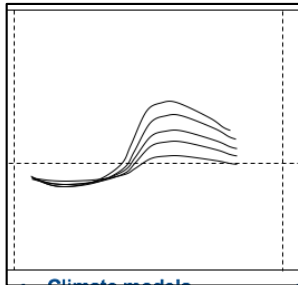
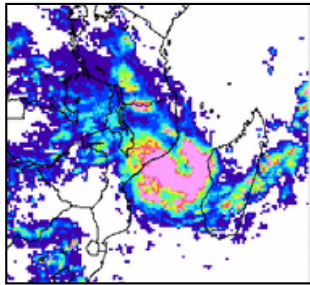
## Reanalysis



## Regional/Global Climate Models, Statistical Prediction

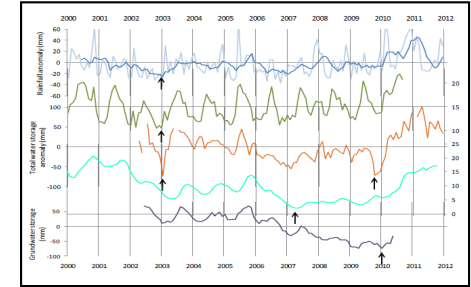
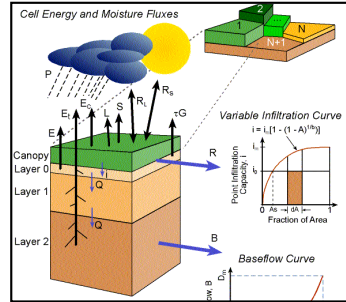
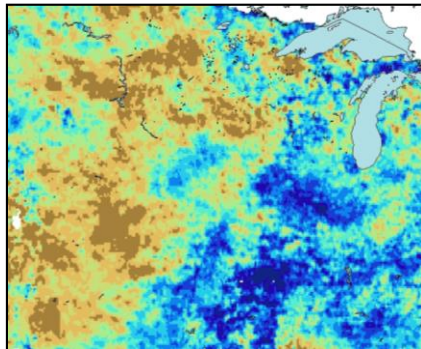


# Putting it all together: Hydrological and Drought Monitoring and Forecasting System



Real-time  
Weather and  
Seasonal Forecasts

Initial  
Conditions



Land surface  
(hydrology)  
models

Hydrological  
Variables,  
Streamflow,  
Drought  
Indices



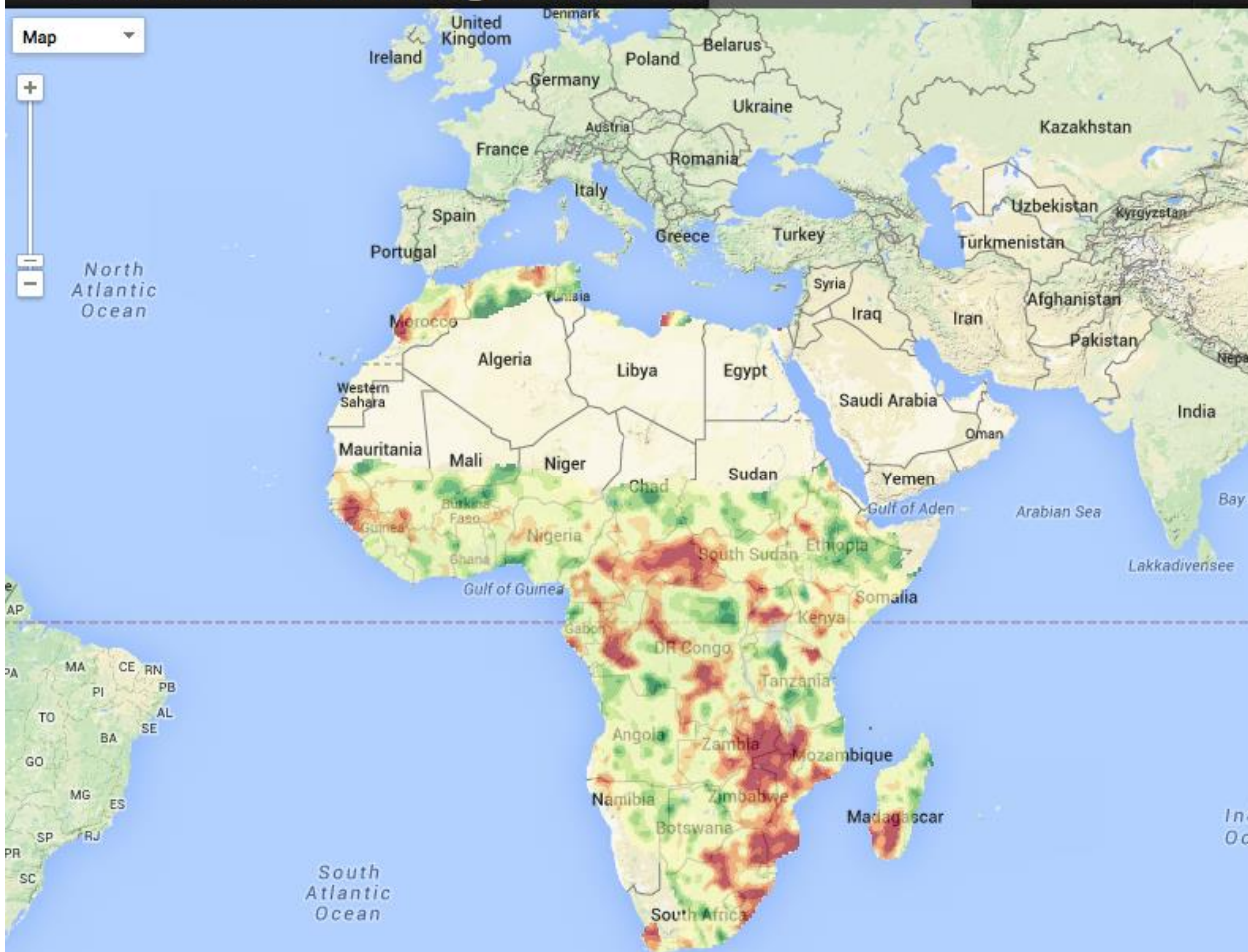
Management/Mitigation



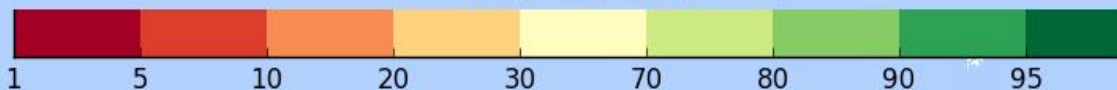
Map



North Atlantic Ocean



2014/11/13



**Animacion**

Datos por coordenadas

Datos Espaciales

**Monitor**

Prediccion

INTERVALO DE TIEMPO (DIA/MES/AÑO)

**Diario**

Mensual

Anual

Inicio: 13 11 2014 - +

Final: 13 11 2014 - +

Actualizar

Despejar

2014/11/13

METEOROLOGIA

Precipitacion (mm) ▾

Temperatura maxima (K) ▾

Temperature minima (K) ▾

Velocidad del viento (m/s) ▾

HYDROLOGY

Humedad del suelo (%) - Primera capa ▾

Indice de agua del subsuelo ▾

Evaporation (mm/dia) ▾

Surface Runoff (mm/day) ▾

Baseflow (mm/day) ▾

Flujo de agua ▾

INDICES

SPI (1 mes) ▾

SPI (3 meses) ▾

SPI (6 meses) ▾



Layers Point Data Download Asset

Overlays ^

Station Data ○

Streamflow ○

Variables ^

Monitor  Forecast  Clear Map

Meteorology ^

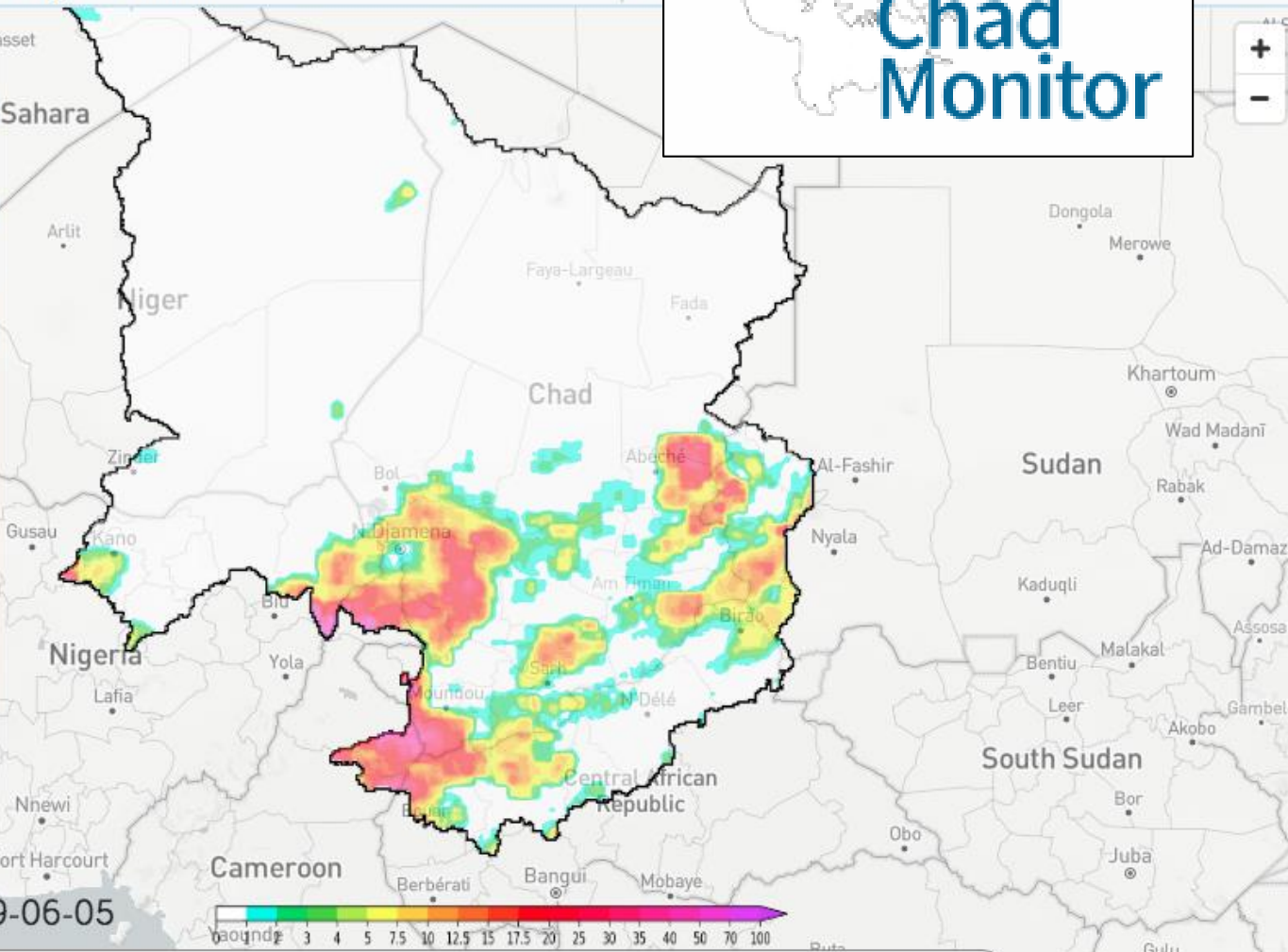
- Precipitation (mm)
- Maximum Temperature (C)
- Minimum Temperature (C)
- Wind (m/s)

Hydrology ^

- Evaporation (mm/day)
- Surface Runoff (mm/day)
- Soil Moisture - Layer 1(mm)
- Soil Moisture - Layer 2(mm)
- Soil Moisture - Layer 3(mm)
- Snowpack Depth (mm)

Indices ^

- SPI (1 month)
- SPI (3 month)



2019-06-05



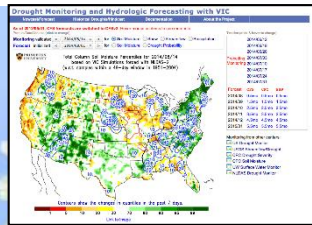
Daily 5 31 2019

2019-05-30 2019-06-06

6 7 2019



# Translation of Research into Operational Hydrological Monitoring and Forecasting



US Drought Monitor - 2006

CONUS 4km Monitor - 2016

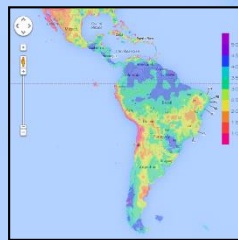


Eastern Europe – 2020?



CHAD-FDM – 2019

LAC Drought Monitor – 2014 - 16



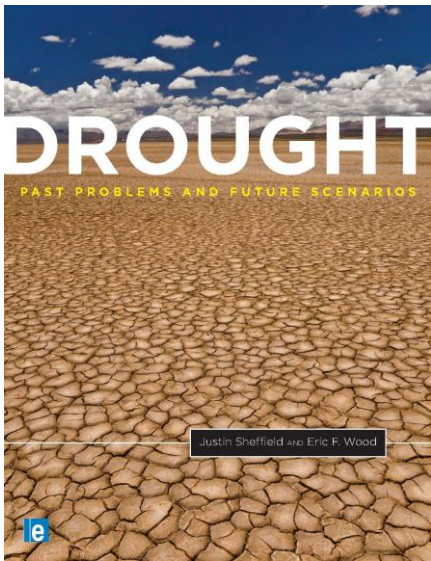
ChileFDM – 2019



African Drought Monitor – 2010 - 15

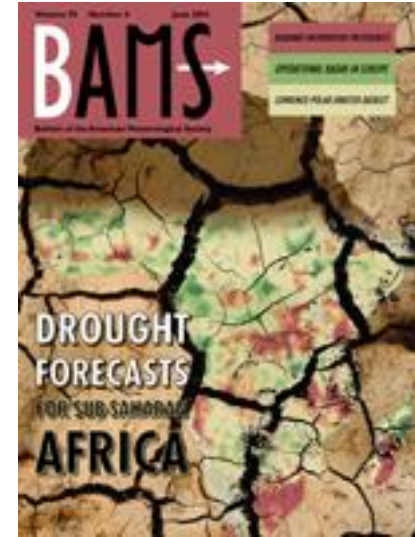
# Summary and Conclusions

- Our drought research spans time and space scales, and crosses into sectoral impacts, resilience and adaptation
- A key part of addressing drought impacts is provision of early-warning
- Drought monitoring and forecasting is possible anywhere operationally, taking a top-down approach
- AFDM and CHAD-FDM are one set of examples of how this can be done at relatively low cost
- But large challenges in translating this into useful and useable information, particularly in understanding decision making
- New opportunities exist for bringing prediction to the scales relevant for decision making



Drought: Past Problems and Future Scenarios  
Justin Sheffield and Eric F. Wood  
Earthscan

Sheffield, J., et al., 2014; A drought monitoring and forecasting system for sub-Saharan African water resources and food security. *Bull. Am. Met. Soc.*



- Yuan, X., J. K. Roundy, E. F. Wood, J. Sheffield, 2015: Seasonal Forecasting of Global Hydrologic Extremes: System Development and Evaluation over GEWEX Basins. *Bull. Amer. Meteor. Soc.*, 96, 1895–1912. doi: <http://dx.doi.org/10.1175/BAMS-D-14-00003.1>
- Guan, K., E. F. Wood, D. Medvigy, J. Kimball, M. Pan, K. K. Caylor, J. Sheffield, X. Xu, and M. O. Jones, 2014: Terrestrial hydrological controls on land surface phenology of African savannas and woodlands, *J. Geophys. Res. Biogeosci.*, 119, 1652–1669, doi:10.1002/2013JG002572.
- Kam, J., J. Sheffield, and E. F. Wood, 2014: Changes in drought risk over the contiguous United States (1901–2012): The influence of the Pacific and Atlantic Oceans, *Geophys. Res. Lett.*, 41, 5897–5903, doi:10.1002/2014GL060973.
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