Climate Governance in an Unequal World

Prakash Kashwan, Ph.D. University of Connecticut

The African Climate Talks II (ACT!-II)

UCONN

Outline of the Talk

- Governance
- Three key elements of REDD+ governance
- Explaining comparative differences in REDD+ outcomes
- The impact of political and income inequalities
- Implications for policy and scholarship



Governance or 'Management'

- Governance: From Leviathan to Laissez faire
- Governance is not same as 'management'
- Determination of the goals/objectives of governance is a 'political' question
- Governance: Political; Management ('technical')
- Eight Elements of Good Governance (Governance Pro): Participatory, consensus oriented, accountable, transparent, responsive, effective and efficient, equitable and inclusive, and follows the rule of law.

HALF-EARTH



Our Planet's Fight for Life

EDWARD O. WINNER OF THE PULITZER PRIZE







Empty half the Earth of its humans. It's the only way to save the planet | Kim Stanley Robinson

There are now twice as many people as 50 years ago. But, as EO Wilson has argued, they can all survive - in cities

THEGUARDIAN.COM

UCONN



Protecting nature in an unequal world

By Prakash Kashwan* Democracies can do better than to give into the mirage of "Wilsonian Enclosures", which envision half of the planet or more in nature reserves. The excessive focus o...

ENTITLEBLOG.ORG

ONN

Political Mediation of Competing Goals & Strategies

- How do societies negotiate ightarrowdifficult trade offs?
- What explains the ightarrowdifferences across regions and countries?



DEMOCRACY IN THE WOODS

Environmental Conservation and Social Justice in India, Tanzania, and Mexico

PRAKASH KASHWAN

THE



Background: Fund \rightarrow Market \rightarrow Fund

- Brazilian proposal: Maximum tolerable emission levels for Annex B + a 'compulsory contribution' to a 'clean development fund'; Vetoed by the US delegation
- 'Flexible financing instrument' and a 'trading system' → REDD
- REDD → REDD+
- R.I.P. The Chicago Climate Exchange (CCX) (2003 – 2010)
- Welcome: Carbon Cowboys & Land Grabs





REDD+ Governance I: Tenure Security

- What role does tenure play?
- Imagine an experiment:
- Three countries, each with two sets of tenures (secure, less-secure)
- Market-based REDD+ related funding on offer
- Which type of tenure would you link REDD+ to?



	Legal Framework/ Statutes	Who Holds Land Rights?	What Types of Community Rights Are Recognized?	Strength of Community Rights
India	Indian Forest Act 1927; Forest Conservation Act 1980; Joint Forest Management circular 1990	State forestry departments/ Ministry of Environment and Forests (MoEF)	Limited to harvesting of firewood and collection of fodder in limited quantities.	Very weak
	Forest Rights Act 2006	Village general assemblies (Over forests & land brought within the purview of the FRA).	Rights to manage community forests, and to harvest and market non-timber forest produce; timber rights unclear.	Strong
Tanzania	Land Act 1999; The Forest Act 2002; Wildlife Policy 2007	The state—"Unoccupied and unused village land" considered "general land," which is under the authority of the central government represented by FBD; the 2002 act provides for creation of village land forest reserves.	Very few rights unless village boundaries are registered and delineated, which is uncommon; no rights within the boundaries of national parks and reserves.	Weak
	Village Land Act 1999	Village councils—"Unoccupied and unused" village lands vested in village councils.	Significantly strong rights under community-based forest management (CBFM); Practically difficult because of the red tape.	Strong
Mexico	Agrarian Iaw of 1992	Members of agrarian communities	Rights of timber harvesting with appropriate management plans & safeguards.	Strong
	General Iaw on Sustainable Forest Development of 2012	Members of agrarian communities	All of the above plus forest rights of the tenants	Strong

Table 2 Key Forest Tenure Laws in Case Study Countries



Village Land Use Plans in Tanzania

- Village land use plans: legacy of the villagization era
- WMAs, CBFM: Significant authority & powers to village councils
- "Privatization" of VLUPs: "REDD+ LUP; biofuel LUP; Conservation LUP → Creating fictitious "village forests."
- "Communities in Tanzania have clear legal rights, what they lack, in the Tanzanian political context, is sufficient forms of power and leverage to enforce and capitalize upon those rights"

REDD+ Governance II: Policies for Sharing REDD+ Benefits





Country National Climate Change Program	Түре	REDD+ Benefit- Sharing Provisions	Additional Provisions Listed Under "Safeguards"	Land Tenure Regime that Informs Benefit-Sharing/ Safeguards	Strength of Tenure Regime (Strong/ Weak) ¹	Level of Ambiguity (High/ Low)
India National Action Plan on Climate Change (NAPCC)	Executive Order	"To encourage and incentivize local communities for their role in conservation by transferring the financial benefits accrued on account of REDD+ based on their performance" (MoEF 2014)	"Safeguarding existing traditional rights of local communities Fair and transparent accounting and disbursement of benefits and REDD+ incentives" (MoEF 2014, 6)	Refers most frequently to JFM. Safeguards: The progressive Forest Rights of 2006.	Weak	High
Tanzania National REDD+ Strategy	Executive Order	"Provision of sufficient incentives/compensation to motivate stakeholders" (URT 2013a).	"ensure environmental safeguards and possible impacts on the environment as well as livelihoods and rights of communities" (URT 2013, p.53).	The Land Act of 1999; Draft National REDD+ Strategy classifies village land as state forestland.	Weak	High

Table 3

National Benefit-Sharing Arrangements

Country National Climate Change Program	Түре	REDD+ Benefit- Sharing Provisions	Additional Provisions Listed Under "Safeguards"	Land Tenure Regime that Informs Benefit-Sharing/ Safeguards	Strength of Tenure Regime (Strong/ Weak) ¹	Level of Ambiguity (High/ Low)
Mexico Climate Change Law 2012	Law	"the property rights relating tocarbon lie with the legal owners of land (e.g. <i>ejidos</i> , communities, indigenous groups, individuals, firms) activities that generate more social benefits and support rural sustainable development" (Balderas Torres and Skutsch 2014, p.7 citing the National REDD+ Strategy 2012)	Safeguards to respect "gender considerations and guaranteeing the <i>certainty over property</i> <i>rights and economic</i> <i>competitiveness</i> " (Balderas Torres and Skutsch 2014, p.7 citing the National REDD+ Strategy 2012)	Combines the progressive aspects of Agrarian Law of 1992 and the General Law on Sustainable Forest Development 2002 (amended in 2012).	Strong	Low ²

Table 3 National Benefit-Sharing Arrangements

REDD+ Governance III: Safeguards



Country National Climate Change Program	Туре	REDD+ Benefit- Sharing Provisions	Additional Provisions Listed Under "Safeguards"	Land Tenure Regime that Informs Benefit-Sharing/ Safeguards	Strength of Tenure Regime (Strong/ Weak) ¹	Level of Ambiguity (High/ Low)
India National Action Plan on Climate Change (NAPCC)	Executive Order	"To encourage and incentivize local communities for their role in conservation by transferring the financial benefits accrued on account of REDD+ based on their performance" (MoEF 2014)	"Safeguarding existing traditional rights of local communities Fair and transparent accounting and disbursement of benefits and REDD+ incentives" (MoEF 2014, 6)	Refers most frequently to JFM. Safeguards: The progressive Forest Rights of 2006.	Weak	High
Tanzania National REDD+ Strategy	Executive Order	"Provision of sufficient incentives/compensation to motivate stakeholders" (URT 2013a).	"ensure environmental safeguards and possible impacts on the environment as well as livelihoods and rights of communities" (URT 2013, p.53).	The Land Act of 1999; Draft National REDD+ Strategy classifies village land as state forestland.	Weak	High

Table 3

National Benefit-Sharing Arrangements

Country National Climate Change Program	Түре	REDD+ Benefit- Sharing Provisions	Additional Provisions Listed Under "Safeguards"	Land Tenure Regime that Informs Benefit-Sharing/ Safeguards	Strength of Tenure Regime (Strong/ Weak) ¹	Level of Ambiguity (High/ Low)
Mexico Climate Change Law 2012	Law	"the property rights relating tocarbon lie with the legal owners of land (e.g. <i>ejidos</i> , communities, indigenous groups, individuals, firms) activities that generate more social benefits and support rural sustainable development" (Balderas Torres and Skutsch 2014, p.7 citing the National REDD+ Strategy 2012)	Safeguards to respect "gender considerations and guaranteeing the <i>certainty over property</i> <i>rights and economic</i> <i>competitiveness</i> " (Balderas Torres and Skutsch 2014, p.7 citing the National REDD+ Strategy 2012)	Combines the progressive aspects of Agrarian Law of 1992 and the General Law on Sustainable Forest Development 2002 (amended in 2012).	Strong	Low ²

Table 3 National Benefit-Sharing Arrangements



CIFOR infobriefs provide concise, accurate, peer-reviewed information on current topics in forest research



Rights abuse allegations in the context of REDD+ readiness and implementation A preliminary review and proposal for moving forward

Juan Pablo Sarmiento Barletti and Anne M. Larson

Key messages

- This review reveals multiple allegations of abuses of the rights of Indigenous Peoples in the context of Reducing Emissions from Deforestation and forest Degradation (REDD+) readiness and implementation.
- Findings from the review should be transformed into opportunities for REDD+ to promote and strengthen the rights of Indigenous Peoples.
- A rights-based approach to REDD+ requires engagement with indigenous men and women as rights-holders, rather than as project beneficiaries.
- Parties should be pressed to investigate abuse allegations, enable access to justice, and develop grievance mechanisms within REDD+ processes.
- REDD+ risks exacerbating issues of unsecured rights and pre-existing conflicts over land in the contexts in which it is being readied and implemented, unless it is re-oriented to enhance the rights of Indigenous Peoples. Evidence suggests Indigenous Peoples' undefined tenure rights will negatively impact REDD+ targets.





Carbon Colonialism: How the Fight Against Climate Change Is Displacing Africans



IN

Secret report: biofuel caused food crisis BBC 🛛 Sign in World Bank and UN carbon offset **NEWS** Watch ONE-MINUTE WORLD NEWS scheme 'complicit' in genocidal land Internal World Bank study delivers blow to plant energy drive f 💙 🗠 🚥 grabs - NGOs Page last updated at 11:35 GMT, Wednesday, 4 June 2008 12:35 UK vs Front Page E-mail this to a friend Printable version ≪ 45 **Bioenergy: Fuelling the food crisis?** Plight of Kenya's indigenous Sengwer shows carbon offsets are empowering Afric corporate recolonisation of the South Aditya Chakrabortty Americas By Stephanie Holmes Asia-Pacific Thursday 3 July 2008 14.35 EDT BBC News, Rome Middle East The biofuel debate is electrifying the UN food price crisis su South Asia in Rome, pitting nations against each other and risking IK transforming bioenergy - once hailed as the ultimate green fuel - into the villain of the piece, the root cause behind global food lealth price spikes. Science & Environn Biofuel uses the energy contained echnology in organic matter - crops like Entertainment sugarcane and corn - to produce Also in the news ethanol, an alternative to fossil-based fuels like petrol. eo and Audio A handful of corn before it is processed. Photograph: Charlie Neibergall/AP But campaigners claim the heavily rogran subsidised biofuel industry is lave Your Sav fundamentally immoral, diverting Biofuels have forced global food prices up by 75% - far more than previously Picture land which should be producing untry Profiles Food price rises have caused political unrest in 30 countries estimated - according to a confidential World Bank report obtained by the ses carbon offsetting will empower local communities in the developing world while food to fill human stomachs to ng forests - but critics say the scheme is fuelling genocidal evictions of indigenous people from their lands cial Reports Guardian produce fuel for car engines anh: Tony Karumba/AFP 'Federal Court decision threatens to dispossess by Timothy A Wise indigenous communities' News * Middle East Documentaries * Shows * Investigations Opinion Timothy A Wise is the Policy Research Director, Senativecustomaryrightsland Senativecuspeople Environment Institute, Tufts University, Medford. ALJAZEERA Now: French elections 2017 Journalism Matters Svria's Civil War South Korea Indonesia S comments @ Serewak Civil Societies Published 22 Dec 2016, 5:10 pm Updated 22 Dec 2016, 6:15 pm Record drought in the US farm belt this summer G f 971 🗾 🕂 Save to Facebook withered corn fields and parched hopes for a record OPINION 10 OCTOBER 2012 US corn harvest, but US farmers may not be the ones US corn ethanol fuels food crisis most severely affected by the disaster. Most have insurance against crop failure. Not so the world's in developing countries malaysiakini Share f import-dependent developing countries, nor their y Tweet "IF DAYAK MUST DIE. The US ethanol programme pushed up corn poorest consumers. They are hurting. DAYAK DIE DEFENDING Sarawak Civil Societies Comment prices by up to 21 per cent as it expanded to This is the third food price spike in the last five years, NCR LANDS" and this time the finger is being pointed squarely at

Print

'Federal Court decision threatens to dispossess indigenous communities'

Biofuels

More »

TR. SANDAH, TR LAJANG, SEMAWI PAONG

& OTHERS, ULU MACHAN, KANOWIT 'S CASE

consume 40 per cent of the harvest

nate change wildlife energy pollution

biofuels. More specifically, the loss of a quarter or

programme.

more of the projected US corn harvest has prompted urgent calls for reform in that country's corn ethanol

Capital TV Radio

Weather

Working in development Development 2030

Bigotry against indigenous people means we're missing a trick on climate change Prakash Kashwan



environment > climate change wildlife energy pollution





What Explains these REDD+ Governance Outcomes?









Implications for Scholarship & Policy

UCONN

Beyond Binaries and the Romance of Community



- Neither markets nor the state, but an intermeshing of state, markets, and societal initiatives
- Focus on political and economic power, processes, checks and balances
 UCONN

Conceptual Shifts: Forestland "Regimes"







FORESTLAND REGIMES: The configuration of actors, authorities, and institutions that regulate forest and land use, as well as "the formal and informal structure and nature of political power" in forested regions. (Kashwan 2017, 4. Citing Jayal 2001; Siaroff 2011)

Climate governance Amidst Inequalities

- Climate governance is entangled with subnational political & economic inequalities.
- Subnational distributional concerns * domestic institutional reforms ←→ climate governance
- Addressing domestic inequalities should be more *central* to scholarship & practice of climate governance
- Inequality drives unsustainability (via resource extraction, production, consumption)

UCONN

Inequalities undermine our ability to respond

