

STUDY REVIEW (DAY 1: THURSDAY, APR. 7TH, 2016)	
Opening & Introductions	<p>08.30 – 09.00 : Registration</p> <p>09.00 – 09.20 : Opening Remarks (AUC, Ethiopia, AMDC/SID)</p> <p>09.20 – 09.50 : Introduction of Participants/Discussants/Session Chairs</p> <p>09.50 – 10.00 : Meeting overview/structure (AMDC)</p>
10.00 – 10.15 : Coffee Break	
<p>Session 1</p> <p><u>Chair:</u> ZAMBIA (Mr. Fred Banda)</p> <p><u>Discussant:</u> Dr. Claude Kabemba</p>	<p>10.15 – 11.00 : (S. Mondlane) Presentation¹ of ASM Report: Part 1</p> <ul style="list-style-type: none"> - Introduction, Objectives and Methodological approach; - Key Assumptions, Instruments, Limitations, Challenges and Opportunities; - ASM Context in Africa - Framing ASM in Africa: The AMV dimension <p>11.00 – 11.10 : Discussant Remarks</p> <p>11.10 – 12.30 : Q&As/Plenary discussion moderated by Session Chair</p>
12.30 – 14:00 : Lunch Break	
<p>Session 2</p> <p><u>Chair:</u> ACADEMIA (Prof. Caroline Digby)</p> <p><u>Discussant:</u> Prof. Gavin Hilson</p>	<p>14.00 – 14:45 : (S. Mondlane) Presentation of ASM Report: Part 2</p> <ul style="list-style-type: none"> - Landscape of ASM in Africa - ASM Profile - Country Snapshot on the Importance of ASM - Overview of the ASM Legal and Fiscal Regimes in Africa - Comparisons of ASM legal frameworks - ASM Licensing in selected African countries <p>14.45– 14.55 : Discussant Remarks</p> <p>14.55 – 15.45 : Q&As/Plenary discussion moderated by Session Chair</p>
15.45 – 16.00 : Coffee Break	
<p>Session 3</p> <p><u>Chair:</u> CSOs (Ms. Nyaradzo Mutonhori)</p> <p><u>Discussant:</u> Dr. John Tychsen</p>	<p>16.00 – 16.30 : (S. Mondlane) Presentation of ASM Report: Part 3</p> <ul style="list-style-type: none"> - Policy Analysis <ul style="list-style-type: none"> o ASM Dimension o Gender Dimension <p>16.30– 16.40 : Discussant Remarks</p> <p>16.40 – 17.30 : Q&As/Plenary discussion moderated by Session Chair</p>

¹ Kindly note that Presentations Parts 1-4 relating to the draft study report are intended to mirror the different sections in the draft report. As such, the similarities in presentation topics across Parts 1-4 will be addressed as part of the participants' review/feedback.

STUDY REVIEW (DAY 2: FRIDAY, APR. 8TH, 2016)	
<p>Session 4</p> <p><u>Chair:</u> NIGERIA (Mr. Ojeka, Oclebe Patrick)</p> <p><u>Discussant:</u> Prof. Nellie Mutemeri</p>	<p>08.30 – 08.45 : Recap</p> <p>08.45 – 09.30 : (<i>S. Mondlane</i>) Presentation of ASM Report: Part 4</p> <ul style="list-style-type: none"> - Government Challenges/ASM Barriers and Opportunities in Assisting ASM - Addressing the Challenges - Proposed Considerations for AMDC re. ASM Action Plan <p>09.30– 09.40 : Discussant Remarks</p> <p>09.40 – 10.30 : Q&As/Plenary discussion moderated by Session Chair</p>
10.30 – 10.45: Coffee Break	

CONSULTATION ON STRATEGIC OPTIONS FOR THE CENTRE'S ASM WORK (DAY 2: FRIDAY, APR. 8TH, 2016)	
<p>Session 5 (Presentations & Moderated Discussions)</p> <p><u>Chair:</u> KENYA (Ms. Jeniffer Halwenge)</p> <p><u>Discussant:</u> N/A</p>	<p>10:45 – 10:50 : Introductory comments on planned activities for ASM pillar</p> <p>10:50 – 11:00 : Transforming ASM into economically viable activity (C. Kabemba)</p> <p>11:00 – 11:10 : <i>Q&A moderated by Session Chair</i></p> <p>11:10– 11:20 : ASM Knowledge Generation & Dissemination (N. Mutemeri)</p> <p>11:20 – 11:30 : <i>Q&A moderated by Session Chair</i></p> <p>11:30 – 11:40 : Industrial Minerals (Charles Akong-AMDC)</p> <p>11:40 – 11:50 : <i>Q&A moderated by Session Chair</i></p> <p>11:50 – 12:00 : Sourcing from the ASM sector (Estelle Levin)</p> <p>12:00 – 12:10 : <i>Q&A moderated by Session Chair</i></p> <p>12:10 – 12:30 : Constitution of Working Groups</p>
12.30 – 14.00 : Lunch Break	
<p>Session 6: (Parallel sessions)</p>	<p>14.00 – 16.00: Group work (Please see Working Group guidelines)</p>
16:00 – 16:15 : Coffee Break	
<p>Session 7</p> <p><u>Chair:</u> C.A.R. (Mon. Jules YAGANZA)</p> <p><u>Discussant:</u> N/A</p>	<p>16:15 – 17:30: Group presentations & feedback</p>
Conclusion	17:30 – 18.00 : Wrap up and closing

1. Guidelines²

1.1. DISCUSSANTS:

The discussants are individual experts who have been designated to critique different parts of the presentation of the ASM study. Considering that the study will be presented in four parts as indicated in the schedule below, a discussant has been designated for each part. Discussants will be allotted a 10 minutes for their remarks, following the presentation re. their assigned part(s) of the draft study report. It is expected that discussants' remarks will help frame the ensuing *Q&A/Plenary discussion*, which will be moderated by a Session Chair. The study report presenter may also weigh in during the *Q&A/Plenary discussion*.

Having studied the report—and corresponding presentations where applicable—before the validation workshop/EGM, discussants' main responsibility will be to enhance the participants' assessment of the report, focusing on the specific part of the report/presentation assigned to the discussant. In this regard, the discussant will summarize their corresponding part's main message and contribution; describe how it fits into the broader literature on ASM; evaluate if that part of the study report/presentation makes its case, considering the study TORs as well; describe any unique aspects in that part of the study report/presentation; simplify (if necessary) any technical aspects addressed; and suggest how the paper could be improved.

1.2. SESSION CHAIRS:

Session Chairs will be designated for each session (except Session 6) as indicated in the schedule. They are responsible for ensuring that their session is managed properly and remains on schedule. They shall also moderate the presentations as well as the *Q&A/Plenary discussions* scheduled during their session. In this regard, the Session Chairs will facilitate questions, answers and comments, while ensuring that discussions remain focused on the meeting objectives.

The designated session chairs are drawn from representatives of governments/member states, civil society, academia and United Nations or other international bodies.

² Roles/responsibilities of **Discussants** and **Session Chairs**; **Working Group composition, topics and conduct of sessions**.

2. Bios (Session Chairs, Discussants and Working Group Facilitators)

2.1. SESSION CHAIRS

Mr. Fred Banda (Representing ZAMBIA):

Fred Banda is the Chief Mining Engineer in the Ministry of Mines & Minerals Development of Zambia. He holds a Bachelor of Mineral Sciences degree from the School of Mines of the University of Zambia. He has worked for the mining industry throughout his career and has extensive experience of well over 15 years, starting with the private sector and now with Government. He is currently responsible for the development of both large scale and small scale mines throughout Zambia. Some of the functions include appraising of mining projects through evaluation of feasibility studies and recommending for the eventual grant of licences. Mr. Banda's other responsibilities include providing technical services to small scale miners for safer mining methods with reduced environmental impacts and to reduce illegal mining activities

Adjunct Professor Caroline Digby (Representing ACADEMIA)

Prof. Caroline Digby is the Director of the Centre for Sustainability in Mining and Industry (CSMI), at Wits University, Johannesburg. The centre focuses on promoting responsible mining across Africa through research, training and dialogue; its main research areas are health and safety, environment and socioeconomic development. A development economist by training, Caroline has worked in the field of mining, sustainability, education and regeneration for over twenty years. Prior to Wits, she was Sustainability Director at the Eden Project in the UK, where she also ran the Post-Mining Alliance promoting better practice in post-mining regeneration. She has held posts at the International Council on Mining and Metals, the International Institute for Environment and Development and consulting group CRU International.

Ms. Jeniffer Halwenge (Representing KENYA):

- Ag. Director Mineral Promotion and Value Addition in the Ministry of Mining, Kenya,
- Focal Point for AMV and head of secretariat for AMV domestication
- in charge of policy on mineral value addition
- Chief Inspector of Explosives, Ministry of Mining
- Working experience in government 32 years
- Master of Environmental Science -Environmental Health
- BSc Environmental Science
- Head of State Commendation "Order of Grand Warrior".

Ms. Nyaradzo Mutonhori (Representing CSOs):

Nyaradzo Mutonhori is ZELA's Legal Researcher Consultant. She holds a Law Degree and a Masters in Conflict, Security and Development. Nyaradzo is an alumna of the African Leadership Centre and possesses over seven years progressively responsible experience designing and leading research projects. Her research work focuses on legal and policy issues in natural resources and conflict; sovereign wealth funds; extractives sector and human rights. She has a passion for women and strives to engender all of her research work. Nyaradzo is also the Gender Focal Point person for ZELA. Her responsibility is to implement gender mainstreaming in internally and also within ZELA's programmes. She also monitors and reports on progress on these aspects. She is also leading various projects on Gender and Extractives notably the research to engender the policy discourse on artisanal and small scale mining.

Mr. Oclebe Patrick Ojeka (Representing NIGERIA):

To be provided.

Mon. Jules Cesar YAGANZA (Representing CENTRAL AFRICAN REPUBLIC):

Ingénieur des Mines et de la Géologie, avec 15 ans d'expérience dans le domaine de l'administration Minière et de l'Exploitation Minière artisanale.

Actuellement-Directeur des Techniques Industrielles, de l'Exploitation Artisanale et de la Protection de l'environnement au Ministère des Mines et de la Géologie ; Point focal sur la formalisation du secteur minier artisanal auprès de la Conférence Internationale sur la Région des Grands Lacs (CIRGL). Précédemment, Directeur de l'Exploration et de la Production Pétrolière au Ministère des Mines, du Pétrole, de l'Energie et de l'Hydraulique ; Directeur Régional des Mines du Nord-Ouest de la République Centrafricaine ; Conseiller du Comité Préfectoral de l'Initiative pour la Transparence des Industries Extractives (ITIE) de la Nana Mambéré (Nord-Ouest de la République Centrafricaine) ; Représentant du département des Mines, de l'Energie et de l'Hydraulique au près du bureau d'achat de diamant et or ; Secrétaire Général et Assistant technique de la Coopérative pour le Développement du Secteur Minier en Centrafrique (CODESMICA) ; Directeur du Département de la Géologie à la Société Centrafricaine du développement Minier ; Chef de Service de Prospection à la Société Minière Aoudou Paccou.

Distinctions honorifiques : Médaille du Chevalier dans l'ordre de la Reconnaissance Centrafricaine ; Médaille de l'Officier dans l'Ordre de la reconnaissance Centrafricaine.

2.2. DISCUSSANTS

Prof. Gavin Hilson

Gavin is a leading global authority on the environmental and social impacts of the small-scale mining sector. Gavin Hilson is Professor and Chair of Sustainability in Business at the University of Surrey Business School, UK. His main research interests are the environmental and interrelated socioeconomic impacts of small-scale mining in developing countries. He has authored over 150 journal articles, book chapters and reports on the subject, drawing mainly on research conducted in West Africa and the Guianas, and has consulted on the subject for a number of organizations, including the World Bank, UK Department for International Development, the World Wildlife Fund for Nature, the Royal Institute for International Affairs, the Alliance for Responsible Mining, Newmont and Gold Fields. Professor Hilson received his Bachelors and Masters degrees from the University of Toronto, and his Ph.D. from the Imperial College of Science, Technology and Medicine. Gavin is editor-in-chief of The Extractive Industries and Society (Elsevier Science), and is on the editorial boards of The Journal of Cleaner Production (Elsevier Science), Resources Policy (Elsevier Science), Mineral Economics (Springer) and The International Journal of Surface Mining, Reclamation and Environment (Taylor & Francis).

Dr. Claude Kabemba

Claude Kabemba is the Director of the Southern Africa Resource Watch (SARW). In 2006, the Open Society Initiative for Southern Africa (OSISA) asked him to spearhead the formation of SARW. He holds a PhD in International Relations (Political economy) at the University of the Witwatersrand. Before joining SARW, he worked at the Human Sciences Research Council and the Electoral institute of Southern Africa as a Chief Research Manager and Research Manager respectively. He has also worked at the Development Bank of Southern Africa and the Centre for Policy Studies as Policy Analyst. Dr Kabemba's main areas of research interest include: Political economy of Sub Saharan Africa with focus on Southern and Central Africa looking specifically on issues of democratization and governance, natural resources governance, election politics, citizen participation, conflicts, civil society and social policies. He is regularly approached by both local and international media for comments on political and social issues on the continent. His publication record spans books (as editor), book chapters, journal articles, monographs, research reports, and newspaper articles.

Prof. Nellie Mutemeri:

Prof. Mutemeri is an independent consultant whom until recently worked at AngloGold Ashanti as a Community Manager advising the company on addressing artisanal and small-scale (ASM) issues. She is also an Associate Professor at the Centre for Sustainability in Mining Industry (School of Mining Engineering, University of Witwatersrand, Johannesburg, South Africa). She has worked in the mining and minerals sector in Africa for more 25 years, as a geologist and applied mineralogist. She has specialization in sustainable development focusing on mining policy and regulatory frameworks and strategies, responsible mineral supply, artisanal and small-scale

mining formalisation and gender equality. She was the Manager of the Small-scale Mining and Beneficiation Division at Mintek (Council for Mineral Technology) in South Africa for four years, where she was instrumental in the establishment the Artisanal and Small-scale Mining School, accredited by the South African Mining Qualifications Authority. She is a former director of South African Women in Mining Investment Holdings and the Zenzele Technology Demonstration Centre. Nellie was on the Management Advisory and Group of the World Bank's Communities and Small-scale Mining network. She holds a BSc and PhD in Geology.

Dr. John Tychsen

Dr. John Tychsen is a trained geologist and environmentalist. He has more than 25 years of experience as project manager from management positions in Denmark, Kenya (UNEP) and Bolivia. He has 15 years experiences with ASM projects with a focus on technical assistance to miners, formalization, environment, health, safety, socio-economics, gender, training of ASM operators as well as assessment of the revenue possibilities in the ASM value chain in Uganda, Tanzania, Kenya Ethiopia, Burundi, Cameroon, Yemen, Nigeria, Mozambique, Zambia. He was in 2015 mining and ASM expert on the CIRDI project "Assessment for a Kenya Mineral Audit Authority (KMAA)", which provide an assessment of the mining sector in Kenya and the possibilities to develop a KMAA. Further he was manager of the "Environmental Sensitivity Mapping of the Coastal Area of Kenya". He has facilitated a number of workshops on ASM lately a UNESCO workshop in Tanzania in Aug. 2015 and CIRDI workshop in Vancouver in Dec. 2015.

2.3. WORKING GROUP FACILITATORS (except those included above)

Mr. Simon Gilbert

Simon served on the UN Group of Experts on Côte d'Ivoire UNSC res. 2045 (2012) as a Natural Resources Expert. He worked for De Beers for over 25 years, half of which was spent in sub-Saharan Africa, where he worked in a wide range of commercial and administrative activities, gaining a deep knowledge and understanding of the diamond industry. Simon was a senior executive within De Beers Group External and Corporate Affairs Department and was responsible for initiating, developing and maintaining relationships with key individuals, within a wide range of projects and initiatives dealing with corporate reputation and sustainable development, with particular reference to government relations and non-governmental organisations (NGOs). He developed, managed and supervised implementation of Kimberley Process requirements throughout the Group of companies. He has represented the World Diamond Council on numerous Kimberley Review Missions to the Far East and Africa. This work also included a fundamental contribution to the lifting of the UN Security Council Resolution concerning Liberian Diamonds. He was also a key knowledge individual within the Group dealing with a number of high profile projects including the United Nations Global Compact, Business Action for Africa, Diamond Development Initiative and law enforcement and intelligence agencies. Simon is a highly experienced presenter and communicator experienced in both print and broadcast mediums at national and international levels.

Ms. Estelle Levin-Nally

Estelle Levin is Director of Estelle Levin Limited (ELL), a specialist consultancy dedicated to responsible mining and sourcing. Estelle leads a global team empowering clients to plan for and act on the issues and opportunities presented by the ASM sector. ELL assesses situations, evaluates performance, capacitates and informs stakeholders; ultimately empowering clients to achieving their own missions be it refining gold, governing a province, or advocating for human rights. Estelle has devoted her career to sustainability issues in the extractives sector. Her specialism lies in convening impactful relationships and building the capacity of businesses, governments, and civil society to foster resilient communities and environments affected - and built - by ASM. Whether working with NGOs, governments, or SME and big-brand clients, she provides strategic guidance on how to create mineral sectors conducive to responsible business practices and sustainable livelihoods. Estelle is co-founder and Technical Director of the ASM-PACE Programme, Director of The GIFF Project, Industry Fellow at the Centre for Social Responsibility in Mining at the University of Queensland, Australia. She has served on the Standards Committee for the Responsible Jewellery Council since 2008. Estelle is based in Cambridge, UK

